

# Notes on $|V_{ub}|$

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Thanks to  
D. Cronin-Hennessy  
T. Meyer  
E. Thorndike

$|V_{ub}|$

How do we assign  
noncontroversial errors?!

# Overview

□ 10 years of  $|V_{ub}|$

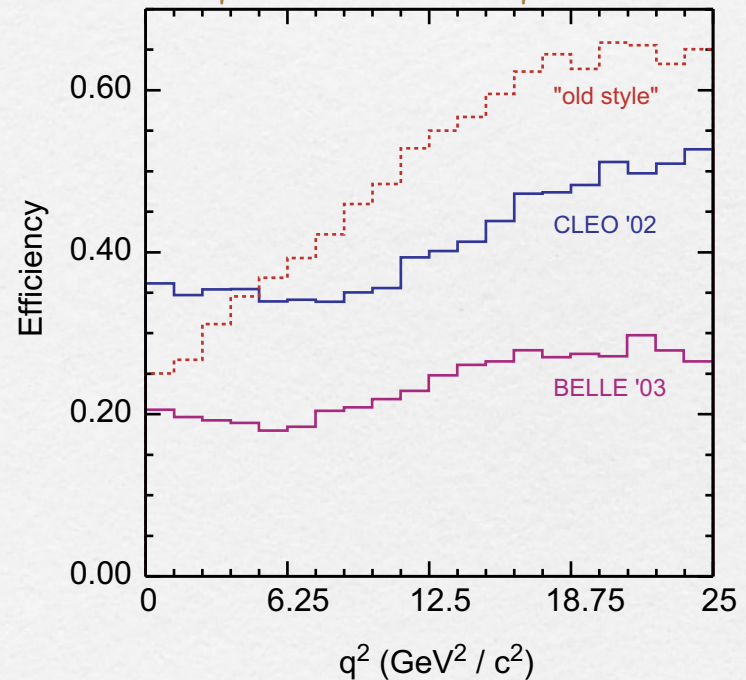
□ marked improvement in understanding

Akhoury, Ball, Bauer, Bigi,  
De Fazio, Ligeti, Luke, □  
Mannel, Manohar, Neubert,  
Rothstein, Ruckl, Shifman,  
Uraltsev, Vainshtein,  
Voloshin, Wise, + lattice  
community + ...

theory: improvements +  
categorization of unknown  
corrections

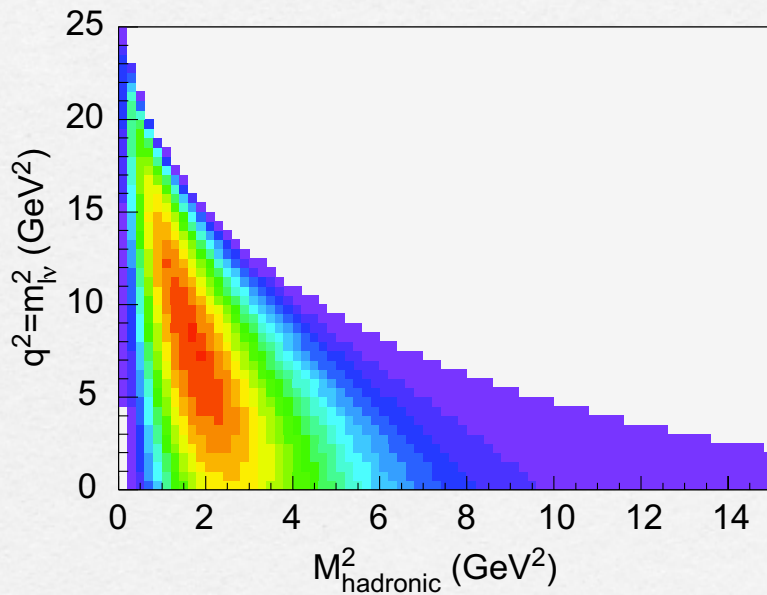
□ experiment: rates without  
strong reliance on  
(unknown) theory

"endpoint": model dependence



We can now limit largest  
unknown uncertainties

# Inclusive $|V_{ub}|$



Operator Product Expansion (OPE)

$$\Gamma(B \rightarrow X_u e \bar{\nu}) = \frac{G_F^2 |V_{ub}|^2}{192\pi^3} m_b^5 \times \left[ 1 - \frac{9\lambda_2 - \lambda_1}{2m_b^2} + \dots - \mathcal{O}\left(\frac{\alpha_s}{\pi}\right) \right]$$

b-spin/brown muck hyperfine     
 b time dilation     
 Complete to  $\alpha_s^2$

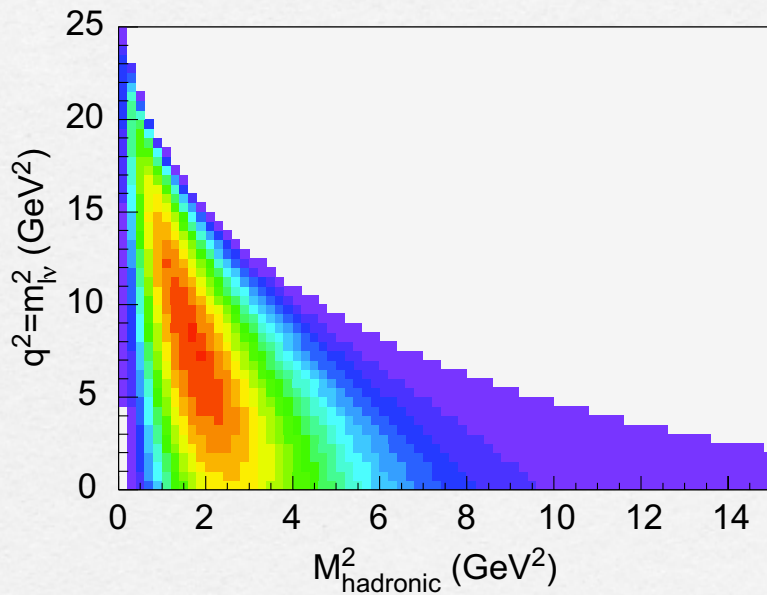
## Issues:

- Global quark hadron duality?
  - $|v_{cb}|$  excl. vs. incl. OK (few %)
- dominant uncertainty:
  - $m_b^{1S}(1\text{GeV}) = 4.58 \pm 0.09 \text{ GeV}$

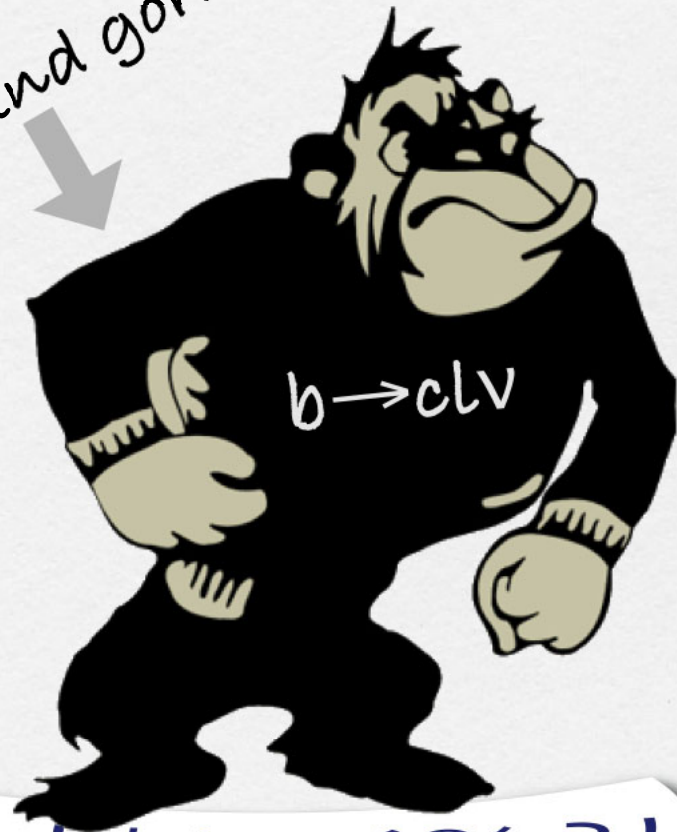
$$|V_{ub}| = 0.00445 \times \left( \frac{B(b \rightarrow ul\bar{\nu}) 1.55\text{ps}}{0.002 \tau_b} \right)^{1/2} \times (1 \pm 0.020_{\text{OPE}} \pm 0.052_{m_b})$$

**$|V_{ub}|$  to 6%?!**

# Inclusive $|V_{ub}|$



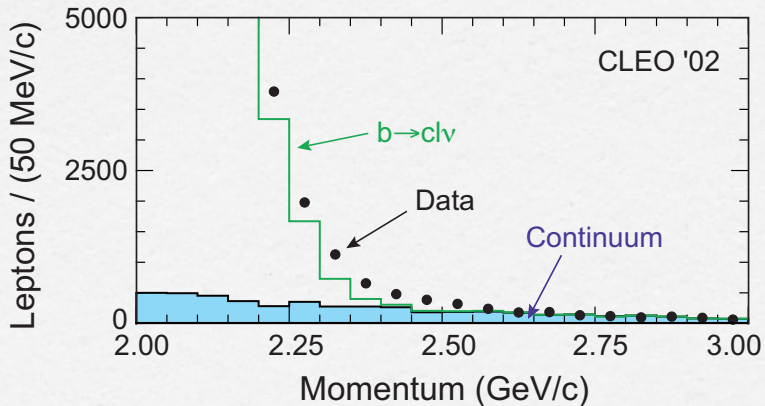
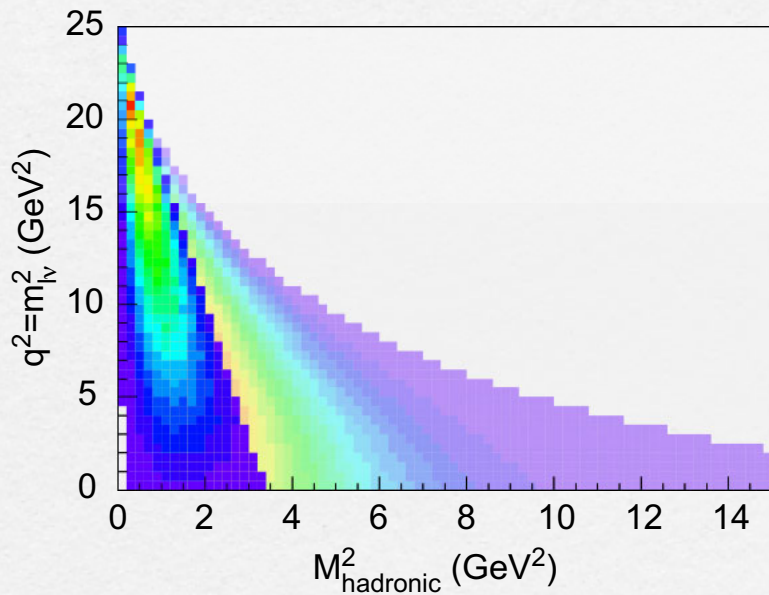
0.04 pound gorilla?



$$|V_{ub}| = 0.00445 \times \left( \frac{B(b \rightarrow ul\bar{\nu}) 1.55\text{ps}}{0.002 \tau_b} \right)^{1/2} \\ \times (1 \pm 0.020_{\text{OPE}} \pm 0.052_{m_b})$$

$(|V_{ub}| \text{ to } 6\%?)$

# Inclusive: $p_1$ endpoint



## Notes:

- Measured rates integrated in  $\Upsilon(4s)$  frame (to date)
- Must know fraction of  $b \rightarrow ulv$  events in endpoint region ( $f_\epsilon$ )

- Operator Product Expansion breaks down:

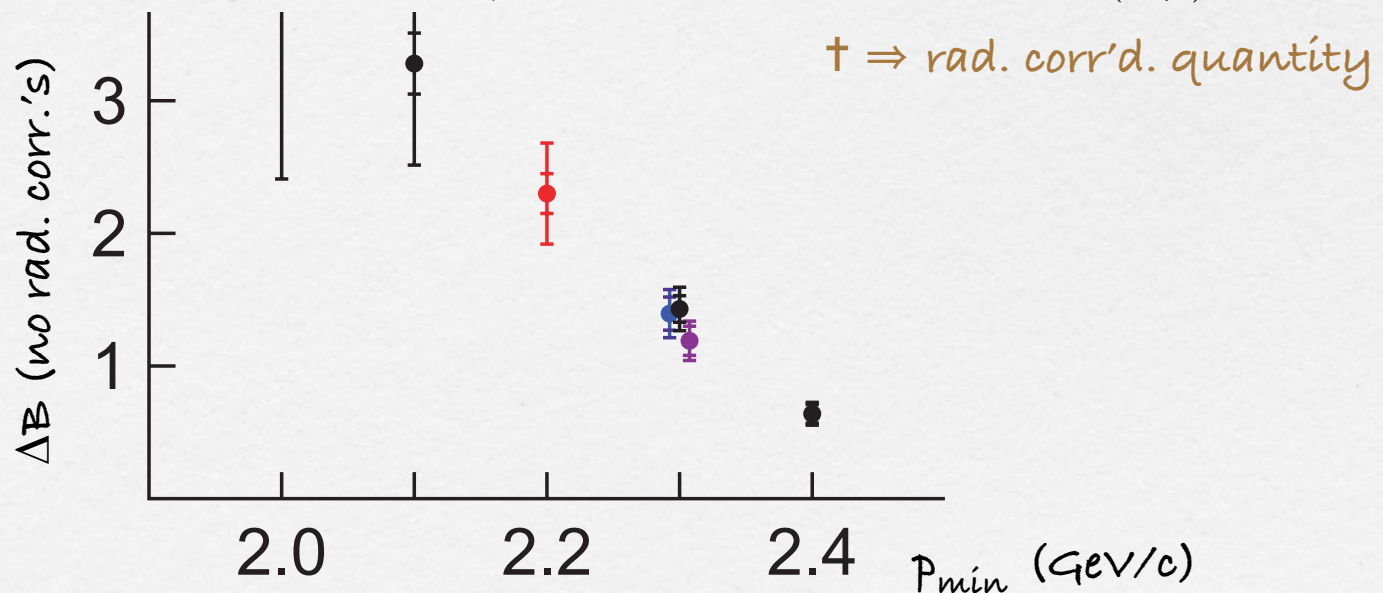
$$\frac{E_X \Lambda_{QCD}}{m_x^2} \sim 1$$

How to get  $f_\epsilon$ ?

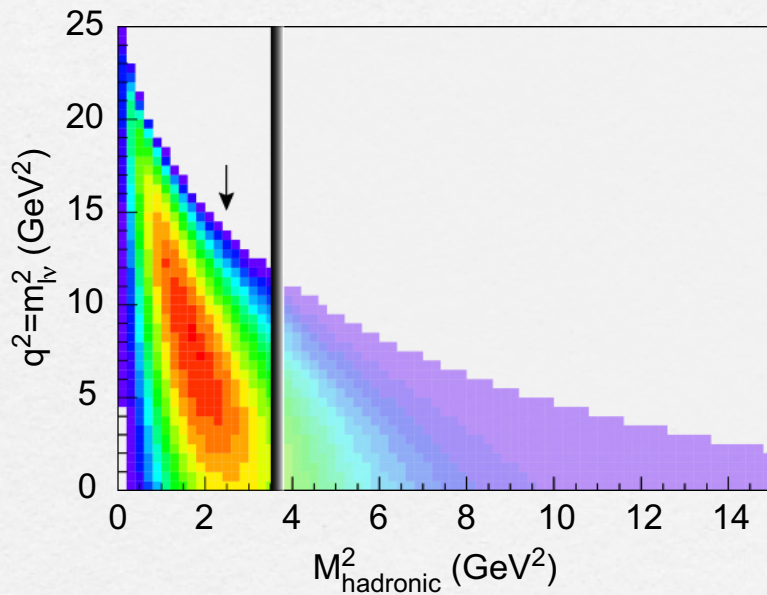
- radiative (QED) corrections

# Inclusive: $p_1$ endpoint

$p$ (GeV/c)	$\Delta\mathcal{B}_u(p)(10^{-4})$	$f_E$	
2.0-2.6	$4.22 \pm 0.33 \pm 1.78$	$\dagger 0.266 \pm 0.041 \pm 0.024$	CLEO ( $e, \mu$ )
2.1-2.6	$3.28 \pm 0.23 \pm 0.73$	$\dagger 0.198 \pm 0.035 \pm 0.020$	CLEO ( $e, \mu$ )
2.2-2.6	$2.30 \pm 0.15 \pm 0.35$	$\dagger 0.130 \pm 0.024 \pm 0.015$	CLEO ( $e, \mu$ )
2.3-2.6	$1.43 \pm 0.10 \pm 0.13$	$0.074 \pm 0.014 \pm 0.009$	CLEO ( $e, \mu$ )
	$\dagger 1.52 \pm 0.14 \pm 0.14$	$0.078 \pm 0.015 \pm 0.009$	BaBar ( $e$ )
	$1.19 \pm 0.11 \pm 0.10$	$\dagger 0.072 \pm 0.014 \pm 0.008$	BELLE ( $e$ )
2.4-2.6	$0.64 \pm 0.07 \pm 0.05$	$\dagger 0.037 \pm 0.007 \pm 0.003$	CLEO ( $e, \mu$ )

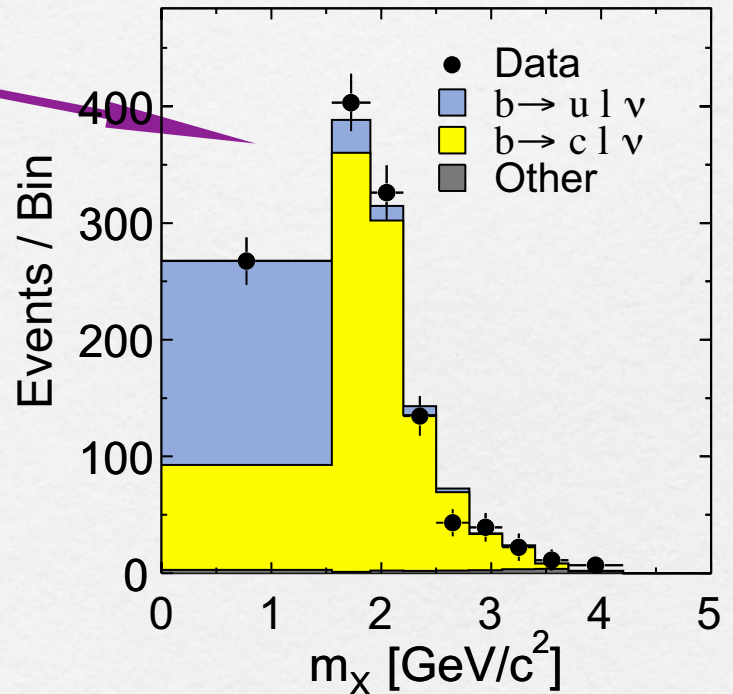


# Inclusive: restrict $M_x$



BaBar

- fully recon.
- B tag
- $p_l > 1.0 \text{ GeV}/c$
- $M_x < 1.55 \text{ GeV}$



□ B-factories: excellent S/B

□ efficiency flat in signal region?

□ OPE: again  $\frac{E_x \Lambda_{QCD}}{m_x^2} \sim 1$

BELLE, too (prelim)

- $D^{(*)} l \nu$  tag (double semileptonic event)
- $p_l > 1.0 \text{ GeV}/c$
- $M_x < 1.5 \text{ GeV}$
- not as clean as full reconstruction tag



# Inclusive: shape func'n

□  $M_X, P_L$  rate in restricted regions?

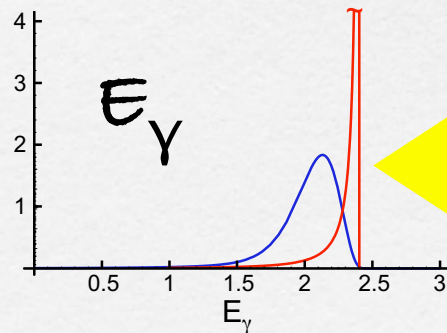
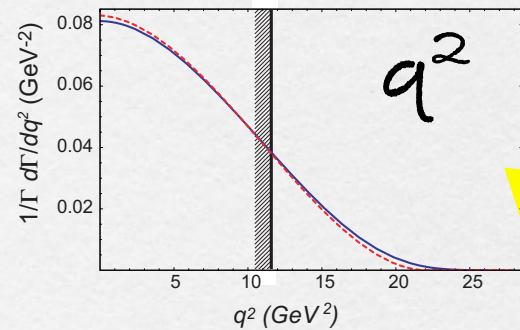
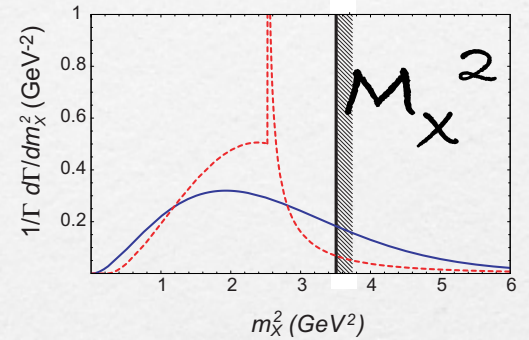
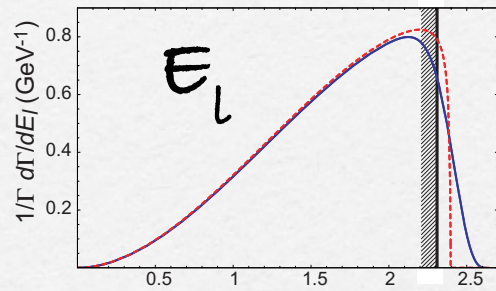
□ re-sum OPE in  $\frac{E_X \Lambda_{QCD}}{m_X^2}$

□ 'shape function'  $f(k_+)$

□  $d\Gamma = \int dk_+ f(k_+) d\Gamma_{m_b \rightarrow m_b + k_+}^{(parton)}$

□ leading order ('twist')

□ universal for  $b \rightarrow$  light quark



$P_b^\mu - m_b v^\mu$  along  
 $m_b v^\mu - q^\mu$

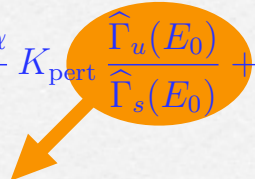
ulv

SY

# Inclusive: shape func'n

□ Ideally:

$$\left| \frac{V_{ub}}{V_{tb}V_{ts}^*} \right|^2 = \frac{3\alpha}{\pi} K_{\text{pert}} \frac{\hat{\Gamma}_u(E_0)}{\hat{\Gamma}_s(E_0)} + O(\Lambda_{\text{QCD}}/M_B)$$

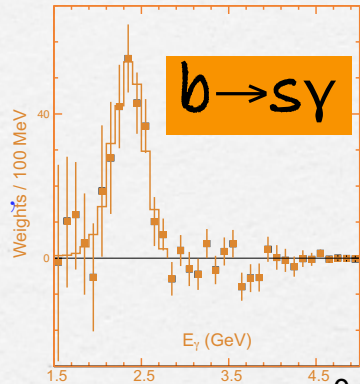


Weighted  $d\Gamma/dE_l$ ,  $d\Gamma/dE_\gamma$   
integrals for  $b \rightarrow ul\nu$ ,  $b \rightarrow s\gamma$

□ Currently:

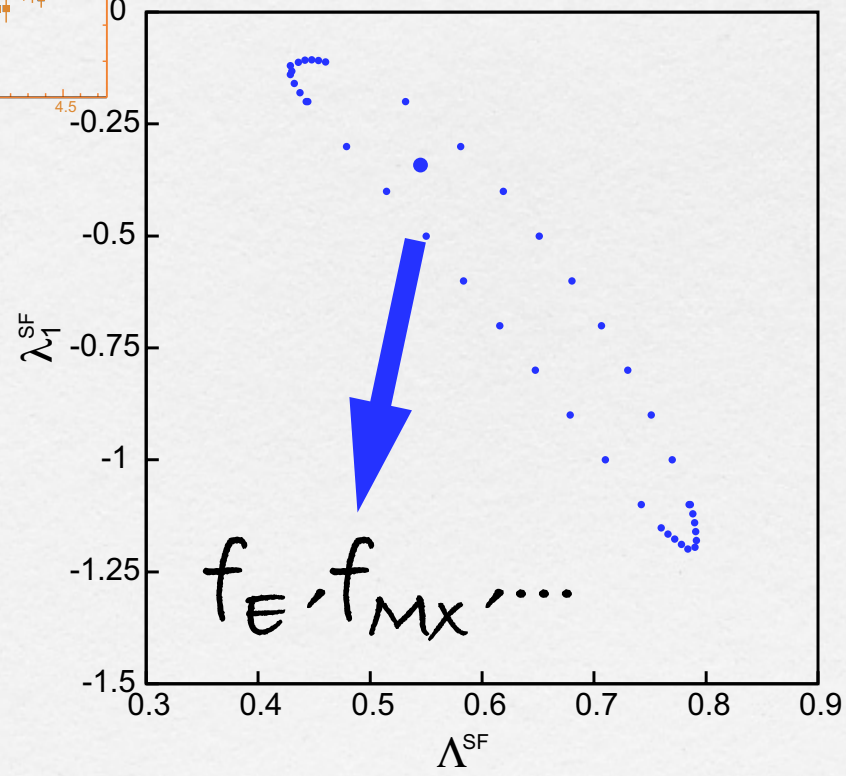
- efficiency variations
- $E_l$ :  $\Upsilon(4S)$  vs  $B$  rest frame
- no calc's for  $\Gamma_u(E_0, M_{X_0}, q^2_0)$ !

⇒ model shape fcn  
(introduces model dep.)



$$+ F[\Lambda^{\text{SF}}, \lambda_1^{\text{SF}}](k_+)$$

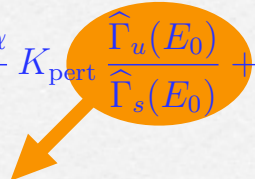
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# Inclusive: shape func'n

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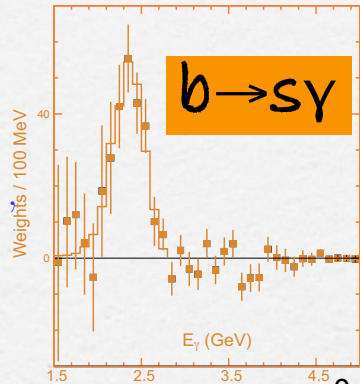


Weighted  $d\Gamma/dE_l$ ,  $d\Gamma/dE_\gamma$   
integrals for  $b \rightarrow ul\nu$ ,  $b \rightarrow s\gamma$

□ Currently:

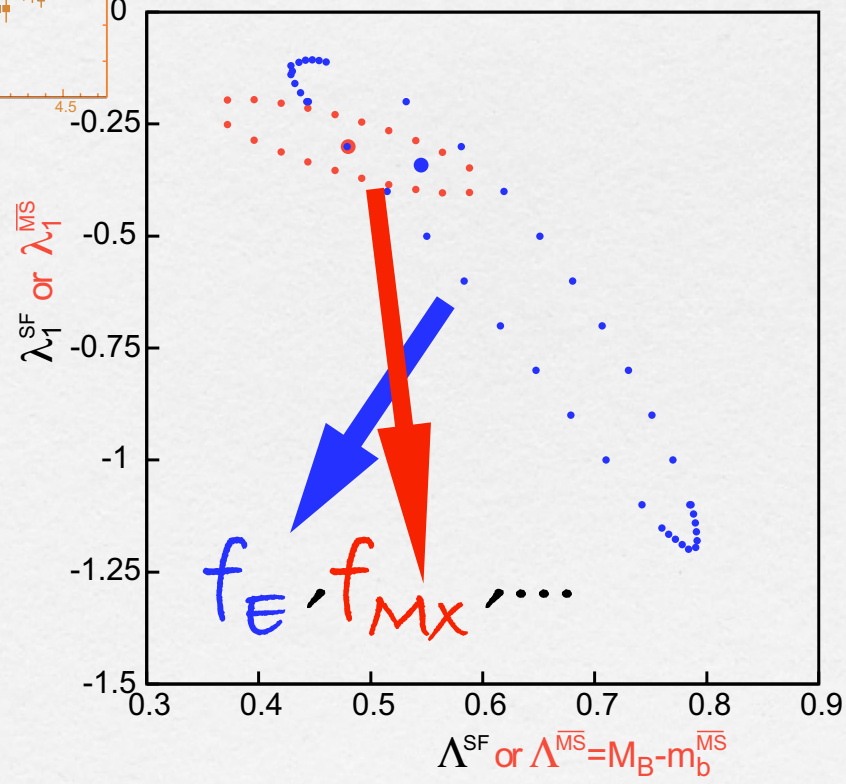
- efficiency variations
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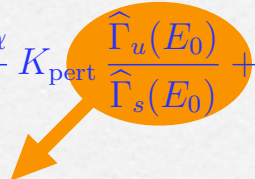
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# Inclusive: shape func'n

□ Ideally:

$$\left| \frac{V_{ub}}{V_{tb}V_{ts}^*} \right|^2 = \frac{3\alpha}{\pi} K_{\text{pert}} \frac{\hat{\Gamma}_u(E_0)}{\hat{\Gamma}_s(E_0)} + O(\Lambda_{\text{QCD}}/M_B)$$

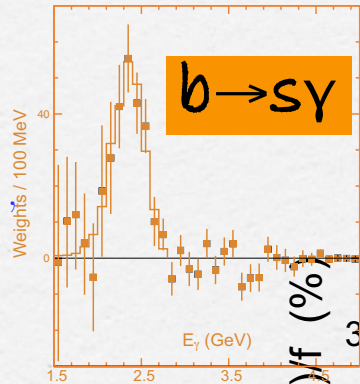


Weighted  $d\Gamma/dE_V$ ,  $d\Gamma/dE_Y$   
integrals for  $b \rightarrow ul\nu$ ,  $b \rightarrow s\gamma$

□ Currently:

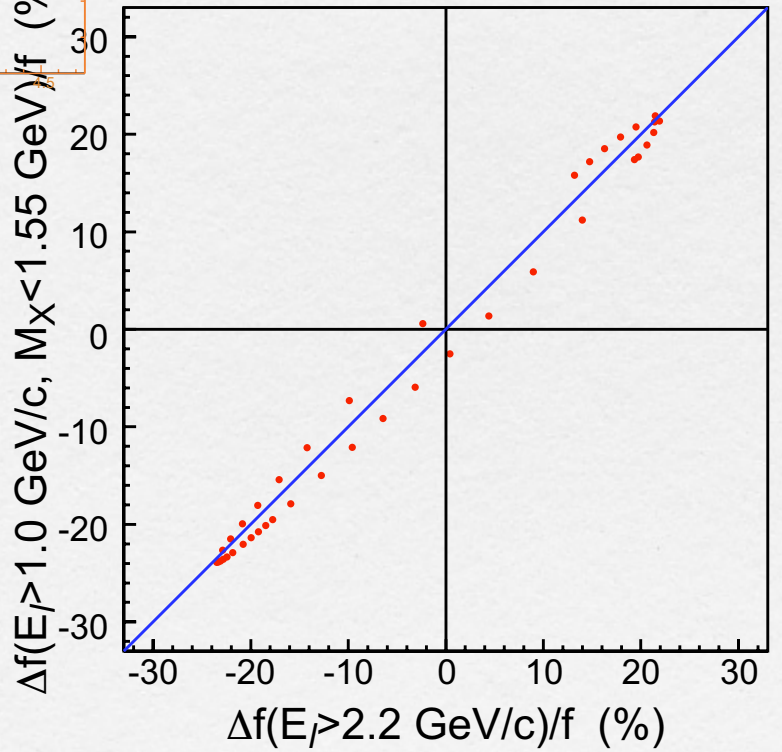
- efficiency variations
- $E_i$ :  $\Upsilon(4S)$  vs  $B$  rest frame
- no calc's for  $\Gamma_u(E_0, M_X, q^2_0)$ !

⇒ model shape fcn  
(introduces model dep.)

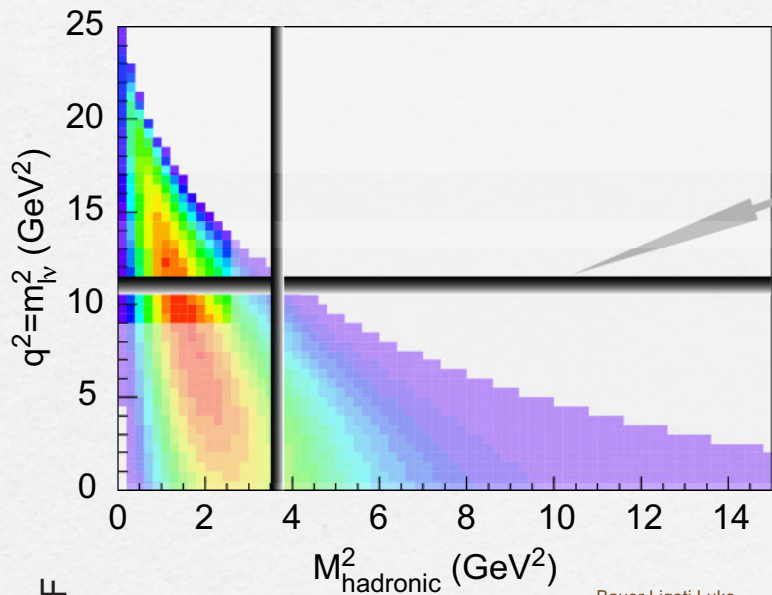


$$+ F[\Lambda^{SF}, \lambda_1^{SF}](k_+)$$

==



# Inclusive: $M_X$ vs $q^2$



$q^2 > (M_B - M_D)^2$ ?

$(\Lambda_{QCD}/m_b)^3 \rightarrow (\Lambda_{QCD}/m_c)^3$

best bet: combine  $q^2, M_X$  cuts

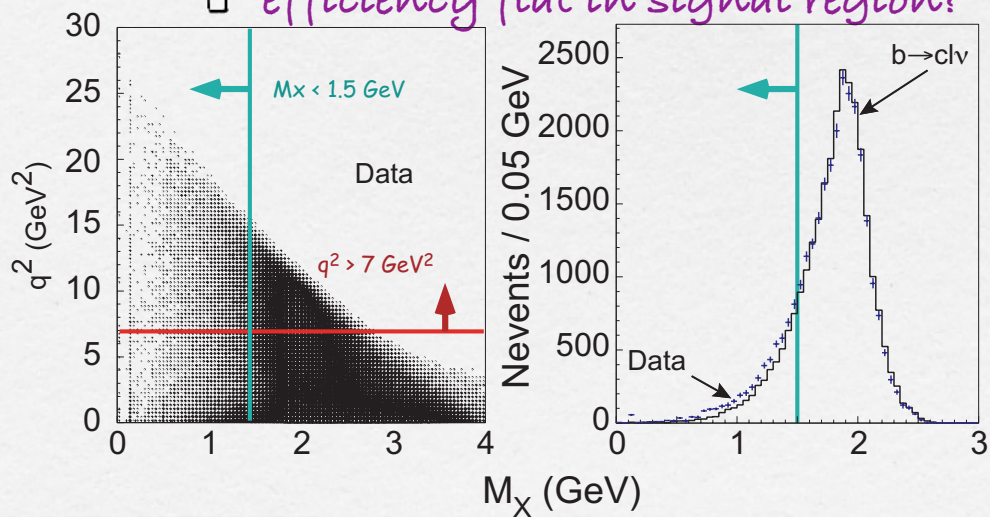
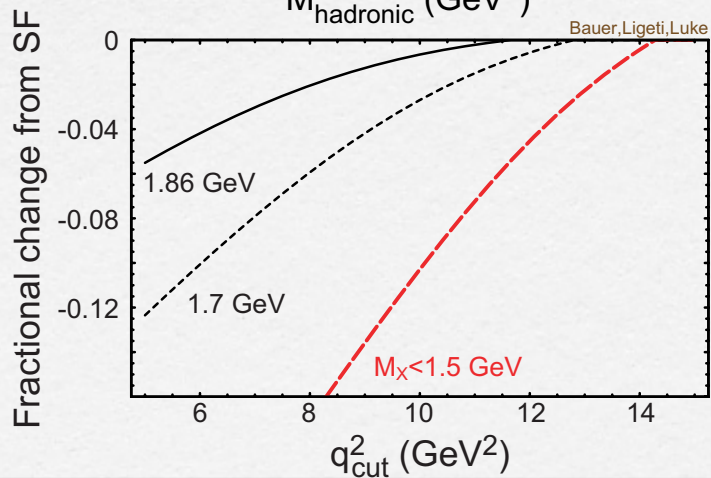
more phase space,  $1/m^3$  controlled

OPE remains valid!

minimize shape fn influence

BELLE: 'annealing method'

efficiency flat in signal region?



# So what's the problem?

## □ Subleading corrections to SF

□  $O(\Lambda_{\text{QCD}}/M_B) \sim 15\%$

□  $F(k^+)$  in ulv

□ ulv, sy differ

## □ 'weak annihilation'

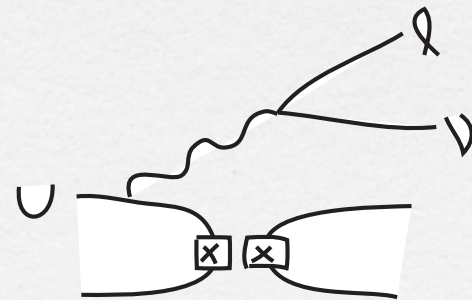
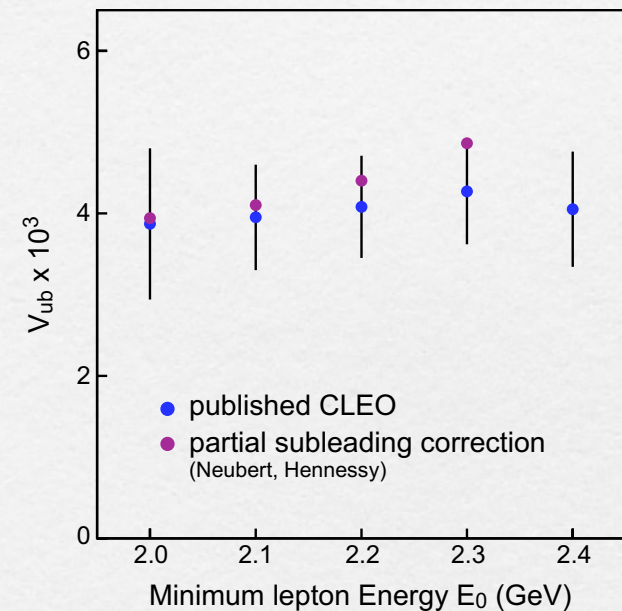
□ localized near  $q^2 \sim m_b^2$

□ rate  $\propto (16\pi^2) \times (\text{factorization viol.})$

□ eg.: 10% violation  $\Rightarrow \delta\Gamma/\Gamma^{\text{total}} \sim 2-3\%$

□  $\times 10$  for endpoint region!

## □ local duality?



# Prototype Combination

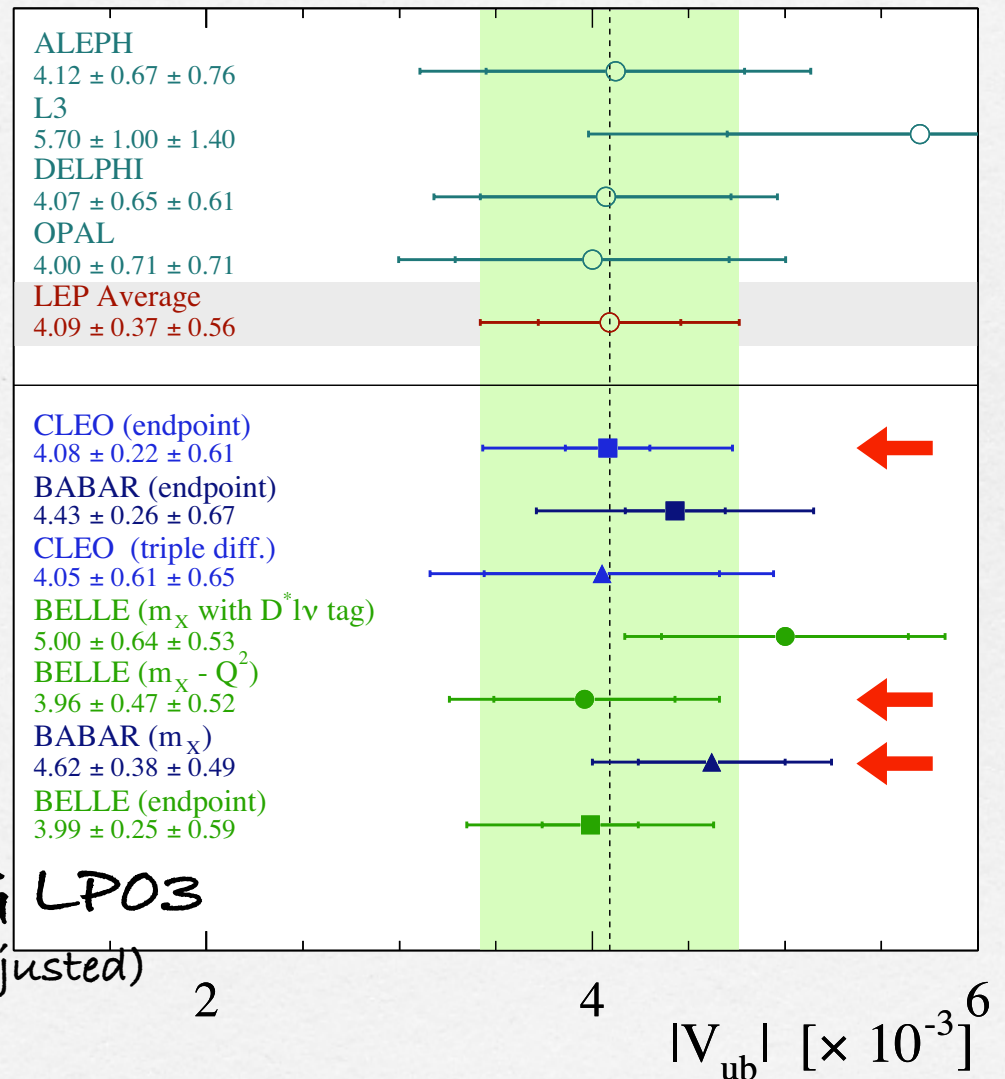
1) Pick m'ments w/  
phase space sensitive to  
different effects + well-  
defined sensitivity

- correct to common

- shape function
- lifetime
- $\Gamma_{thy}^{tot}$

HFLAG LPO3

(unadjusted)



# Prototype Combination

2)  $M_x$  vs  $q^2$ : smallest SF dependence  $\rightarrow$  "central value"

$$\text{Belle } M_x \text{ vs } q^2: 3.96 \pm 0.17_{\text{stat.}} \pm 0.56_{\text{sys.}} \pm 0.45_{\text{SF}} \pm 0.29_{\Gamma_{\text{thy}}} \pm X_{\text{SF}_{\text{sub}}} \pm X_{\text{WA}}$$

3) Weak Annihilation: compare "diluted" to "concentrated" effect

$$\text{BABAR } M_x < 1.55 \text{ GeV}: 4.79 \pm 0.29_{\text{stat.}} \pm 0.28_{\text{sys.}} \pm 0.60_{\text{SF}} \pm 0.27_{\Gamma_{\text{thy}}} \pm Y_{\text{SF}_{\text{sub}}} \pm Y_{\text{WA}}$$

$$\text{CLEO } E_l > 2.2 \text{ GeV}: 4.11 \pm 0.13_{\text{stat.}} \pm 0.31_{\text{sys.}} \pm 0.51_{\text{SF}} \pm 0.23_{\Gamma_{\text{thy}}} \pm \dots$$

$$\Delta|V_{ub}| = 0.69 \pm 0.53 \quad \times \quad [(1 - f_{qM})/f_{qM}][f_e f_M / (f_M - f_e)] \approx 0.39$$

$$\Rightarrow \sigma_{\text{WA}} \approx 0.29$$

4) subleading SF corr.: compare "more" to "less" SF dependence  
(BABAR) (BELLE)

$$\Delta|V_{ub}| = 0.83 \pm 0.71 \quad \times \quad (\Delta\Gamma/\Gamma)_{qM} / (\Delta\Gamma/\Gamma)_M \sim 0.48$$

$$\Rightarrow \sigma_{\text{SF}_{\text{sub}}} \approx 0.40$$



# Inclusive: proto-combo

17%: ~complete + improvable!

- Include more measurements, more th'y
- Improve  $b \rightarrow s\gamma E_\gamma$  spectrum statistics!!!!
- More optimal  $q^2$  range for  $M_X < 1.5$  GeV
- Other inputs: eg.,  $B^0$  vs  $B^+$ ,  $D^0$  vs  $D_s$  limit WA
- th'y: relate  $m_b$  to Shape Function parameters!

PLEA:

need partial BF's insensitive to detailed  $b \rightarrow u$  shape  
Experimentalists: please quote those rates!!!

$$|V_{ub}| = (3.96 \pm 0.17_{stat} \pm 0.56_{sys} \pm 0.67_{SF_{tot}} \pm 0.29_{\Gamma_{thy}}) \times 10^{-3}$$

- More  $q^2$  vs  $M_X^2$  measurements!
- higher  $q^2$  optimal? (bkg syst.)

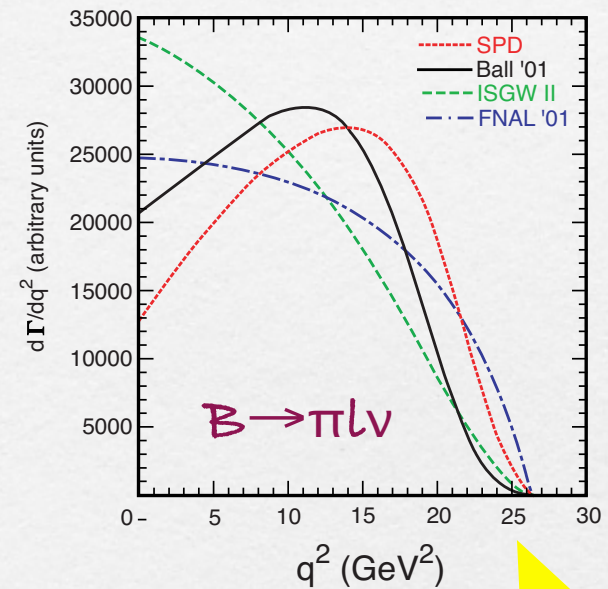
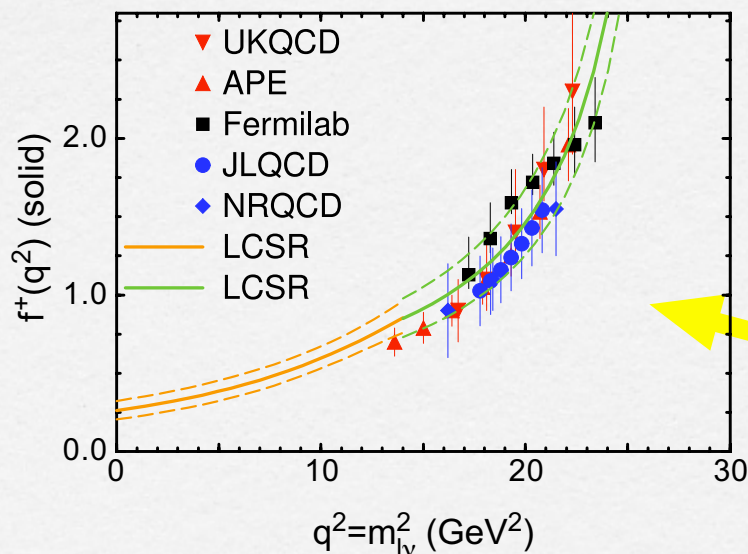
# Exclusive: form factors

□  $|v_{ub}|$  from  $B \rightarrow \pi/\rho l \nu$

□ Theory = Form factors (FF), eg.

$$\frac{d\Gamma(B \rightarrow \pi l \nu)}{dq^2 d\cos\theta_\ell} = |V_{ub}|^2 \frac{G_F^2 P_\pi^3}{32\pi^3} \sin^2\theta_\ell |f^+(q^2)|^2$$

- shape  $\rightarrow$  rate uncertainty
- shape+norm  $\rightarrow |v_{ub}|$  uncertainty



QCD-based:  
reasonable agreement

- quenched lattice (high  $q^2$ )
- light cone sum rules (low  $q^2$ )

Models:  
large variation

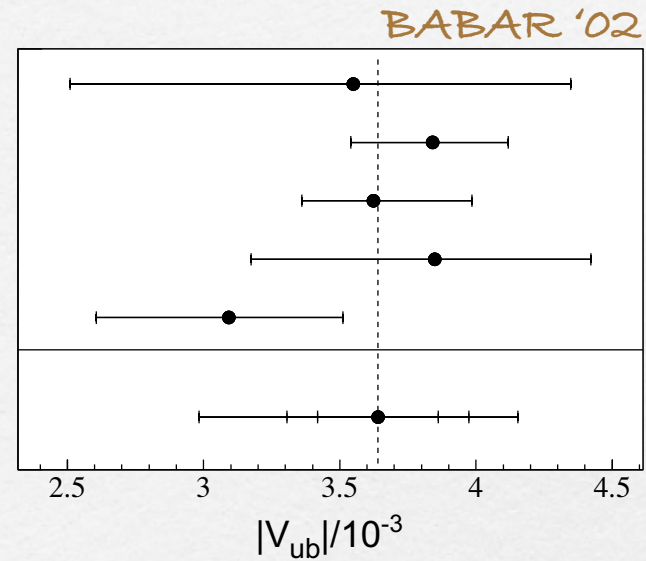
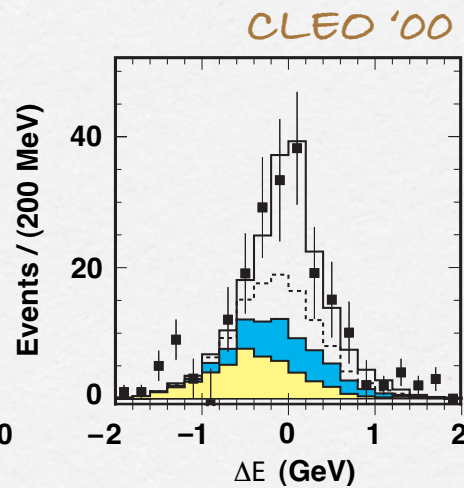
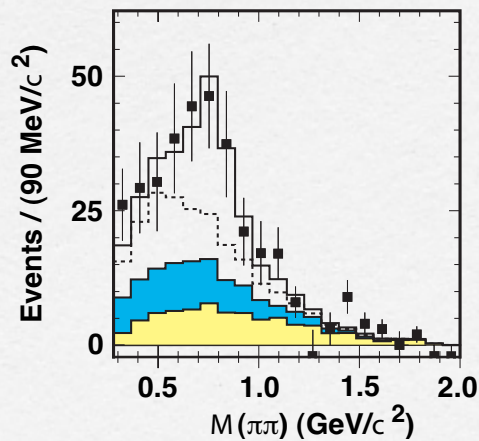
# “Loose” $\nu$ -reconstruction

## □ Generic technique (to date)

□ Event  $E_{miss}, P_{miss} \rightarrow E_\nu, P_\nu$

□ Two approaches:

- Tight event,  $\nu$ -consistency req's
- Loose event,  $\nu$ -consistency req's



ISGW2:	$3.55 \pm 0.21 \pm 0.25$	<sup>+0.80</sup> <sub>-1.04</sub>
Beyer/Melikhov:	$3.84 \pm 0.24 \pm 0.27$	<sup>+0.28</sup> <sub>-0.30</sub>
UKQCD:	$3.62 \pm 0.22 \pm 0.25$	<sup>+0.36</sup> <sub>-0.26</sub>
LCSR:	$3.85 \pm 0.24 \pm 0.27$	<sup>+0.57</sup> <sub>-0.67</sub>
Ligeti/Wise:	$3.09 \pm 0.19 \pm 0.22$	<sup>+0.42</sup> <sub>-0.49</sub>
Combined:	$3.64 \pm 0.22 \pm 0.25$	<sup>+0.39</sup> <sub>-0.56</sub>

models + extrapolated QCD FF's  
( $|V_{ub}|$  error)?

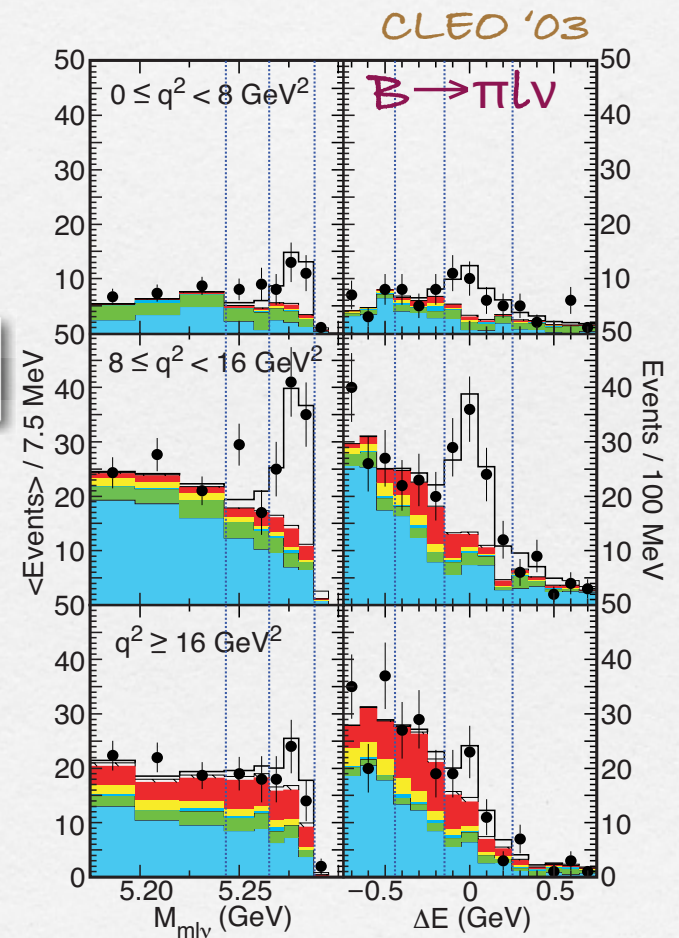
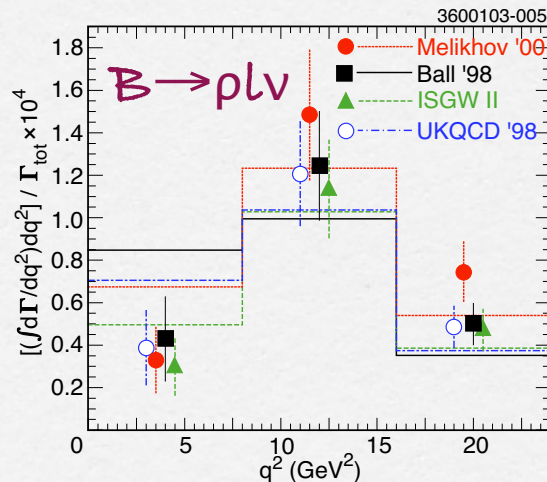
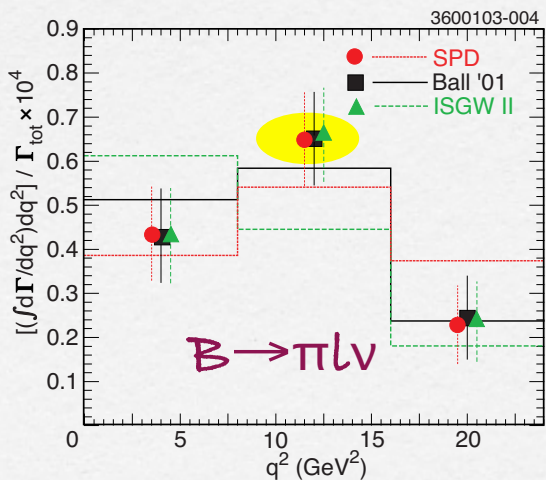
$B \rightarrow p l \nu$

S/B  $\Rightarrow$  primarily sensitive for  
 $p l > 2.3 \text{ GeV}/c$  (form factors!)

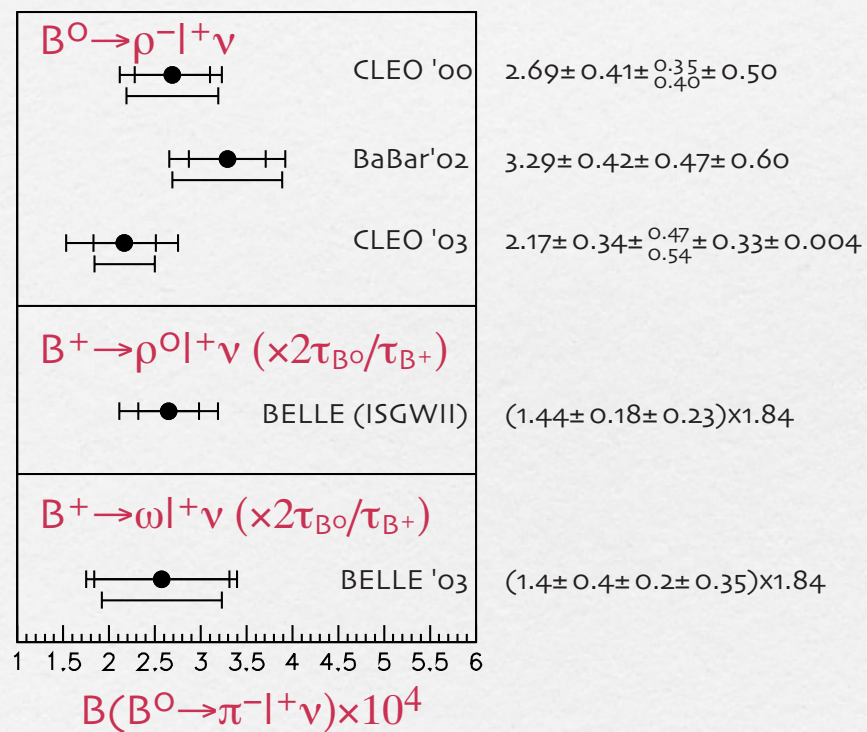
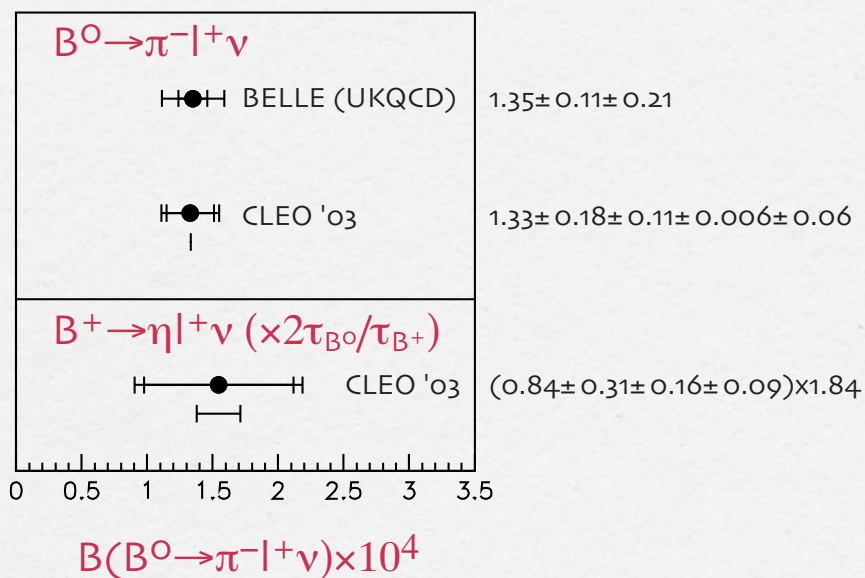
# “Tight” $\nu$ -reconstruction

- Better S/N (lower efficiency)
  - larger  $p_l$  range
    - $>1.0$  GeV/c ( $\pi l \nu$ ),  $1.5$  GeV/c ( $p l \nu$ )
  - measure  $d\Gamma/dq^2$ 
    - reduce FF shape dependence
    - test FF calc's
    - use QCD calc's, no extrapolation

$B \rightarrow \pi / p l \nu$



# Branching Fractions



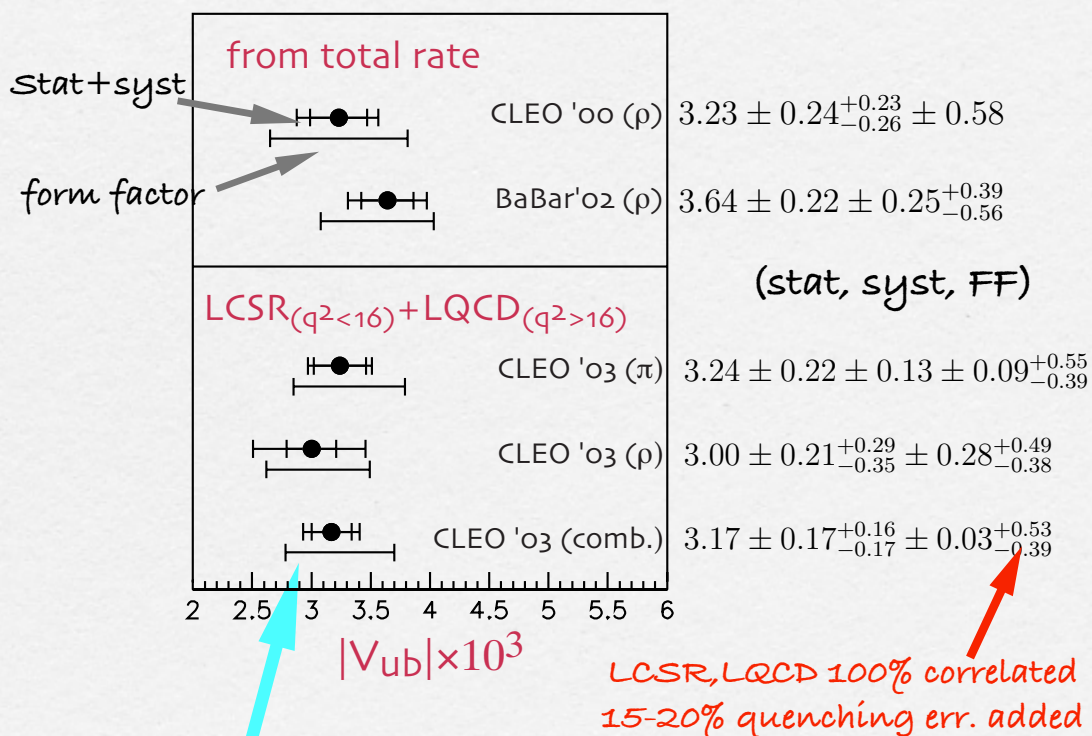
Isospin relations:

$$\Gamma(B^0 \rightarrow \pi^- l^+ \nu) = 2\Gamma(B^+ \rightarrow \pi^0 l^+ \nu)$$

$$\Gamma(B^0 \rightarrow \rho^- l^+ \nu) = 2\Gamma(B^+ \rightarrow \rho^0 l^+ \nu)$$

- used in CLEO, BABAR  $\pi, \rho$  results
- fits + prelim BELLE modes support

# Exclusive: average



□ Correlated syst not evaluated

□ I'll assume 100% corr.

□ Modeling versus no modeling?

□ assign 5% deweighting penalty in average

□ admittedly arbitrary

$\pi$  dominates  
weight  $\sim 70\%$

$$|V_{ub}| = (3.27 \pm 0.13 \pm 0.19^{+0.51}_{-0.45}) \times 10^{-3}$$

# Average, Prospects

Inclusive errors: all present!

⇒ no longer reason not to combine excl + incl

- one exclusive fudge: additional 10% error added for quenching in average (no expt'l checks)



Average:  $|V_{ub}| = (3.48 \pm 0.10 \pm 0.22_{-0.45}^{+0.48}) \times 10^{-3}$

Prospects: Great!!

- $B \rightarrow \pi l \nu$  looks golden
  - still statistics limited
  - fully recon  $B$  tags coming (soon?)  
reduced systematics
  - unquenched lattice + moving  $B$ !  
plv harder: unquench  $\Rightarrow$  unstable
- Much room for improving inclusive procedure outlined here
  - many useful inputs needed from current data!