

## Theory of Radiative & Rare Decays

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- Introduction [*Why are we interested in rare decays?*]
- Inclusive FCNC  $B$  decays [*General properties*]
  - $B \rightarrow X_s \gamma$
  - $B \rightarrow X_s l^+ l^-$
- Exclusive channels [*Generalities & a few selected examples*]
  - $B \rightarrow l^+ l^-$
- Conclusions

## • Introduction

Why are we interested in *rare* decays ?

Rare processes are interesting when their suppression is associated to some (hopefully broken...) conservation law [e.g.:  $B \Leftrightarrow p$  decay,  $\mu \Leftrightarrow 2\beta 0\nu$  decay, ...]

## Flavor Changing Neutral Currents

[especially  $\mathcal{CP}$ -FCNC]

are the ideal candidates to study in detail the breaking of the (approximate) *flavor symmetry* of the SM



- no tree-level contributions within the SM
- likely to be dominated by short-distance dynamics [*key point*]

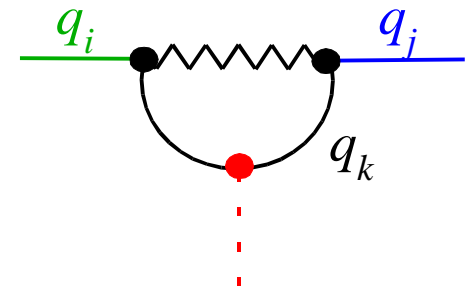


precise indirect determ. of flavor mixing within the SM [e.g.:  $V_{td}$ ]

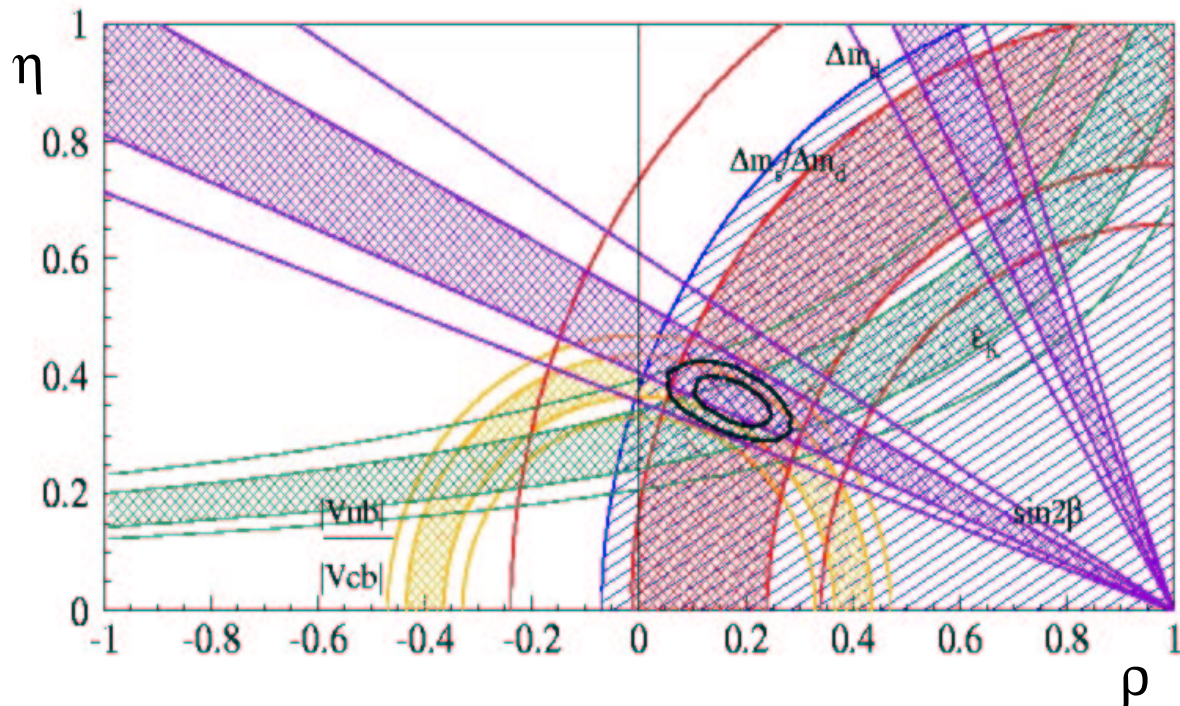


enhanced sensitivity to possible new degrees of freedom

$$q_i \rightarrow q_j + \gamma, l^+l^-, \bar{\nu}\nu$$



Available data on  $\Delta F=2$  FCNC amplitudes (meson–antimeson mixing) already provides serious constraints on the scale of New Physics:



e.g.:  $K^0 - \bar{K}^0$  mixing



$\Lambda \gtrsim 100 \text{ TeV}$

for  $O^{(6)} \sim \frac{(\bar{s}d)^2}{\Lambda^2}$

much more severe than bounds on the scale of flavor-conserving operators from e.w. precision data

...while a natural stabilization of the Higgs potential  $\Rightarrow \Lambda \sim 1 \text{ TeV}$

After the recent precise data from  $B$  factories, it is more difficult [although not impossible] to believe that this is an accident



*The Flavor Problem*

Two possible solutions:

- *pessimistic* [very unnatural]:  $\Lambda > 100 \text{ TeV}$ 
    - $\Rightarrow$  almost nothing to learn from other FCNC processes  
(but also very difficult to find evidences of NP at LHC...)
  - *natural*:  $\Lambda \sim 1 \text{ TeV}$  + flavor–mixing protected by additional symmetries
    - $\Rightarrow$  still a lot to learn from *rare decays*
- 
- Present fit of the CKM unitarity triangle involve only two types of amplitudes sensitive to NP:  $K$ – $K$  mixing and  $B$ – $B$  mixing ( $\Delta F=2$  transitions only)  $\Rightarrow$  we known very little yet about  $\Delta F=1$  transitions
  - Present CKM fits provide only a **consistency check** of the SM hypothesis but do not provide a bound on the NP parameter space  $\Rightarrow$  only with the help of rare decays we can study the **underlying flavor symmetry** in a **model–independent** way

- FCNC  $B$  decays

General properties:

On general grounds, the *inclusive* transitions  $B \rightarrow X_{(s,d)} \gamma$  &  $B \rightarrow X_{(s,d)} l^+ l^-$  [and eventually  $B \rightarrow X_{(s,d)} \nu \nu$ ] are the best candidates to perform *precision* tests of flavor dynamics:

- Precise (NLO & NNLO) calculations of the inclusive decay rates within perturbative QCD ( $m_b \gg \Lambda_{QCD}$ )

$$\Gamma(b \rightarrow s\gamma) \xrightarrow{m_b \rightarrow \infty} \Gamma(B \rightarrow X_s \gamma)$$

- Systematic control of the (suppressed) non-perturbative corrections via the heavy quark expansion

$O(\Lambda_{QCD}/m_b)$  corrections

well under control  
(errors < 5%) in  
sufficiently inclusive  
observables

long-distance contributions

$b \rightarrow s (c\bar{c}) \rightarrow s (\gamma, l^+ l^-)$

under control (in the charm case)  
far from the resonance region  
 $\Rightarrow O(\Lambda_{QCD}/m_c)$

## The perturbative calculation:

$$H_W = -\frac{4G_F}{\sqrt{2}} V_{tb}^* V_{ts} \sum_i C_i(\mu) Q_i$$

Effective operators  
sensitive to short distances:

+

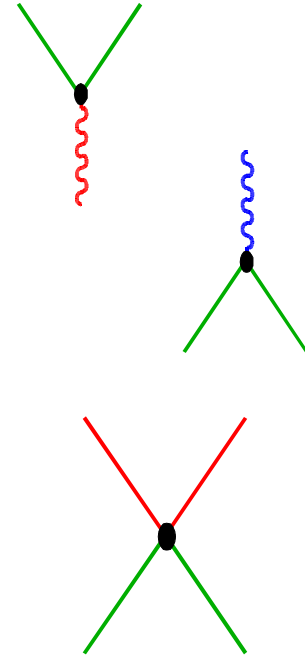
ordinary 4-quark  
operators [ $Q_{1-6}$ ]

$$Q_7 = \frac{e^2 m_b}{16\pi^2} \bar{s}_L \sigma^{\mu\nu} b_R F_{\mu\nu}$$

$$Q_8 = \frac{g_s^2 m_b}{16\pi^2} \bar{s}_L \sigma^{\mu\nu} \tau^a b_R G_{\mu\nu}^a$$

$$Q_9 = \frac{e^2}{16\pi^2} \bar{s}_L \gamma^\mu b_L \bar{l} \gamma_\mu l$$

$$Q_{10} = \frac{e^2}{16\pi^2} \bar{s}_L \gamma^\mu b_L \bar{l} \gamma_5 \gamma_\mu l$$



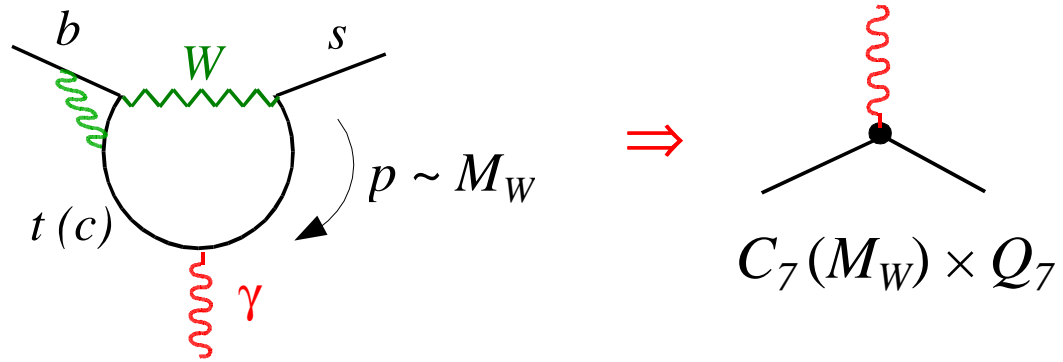
$$A(B \rightarrow f) = \sum_i C_i(\mu) \langle f | Q_i | B \rangle(\mu)$$

A consistent N(N)LO analysis [  $\alpha_S^{N+1} \ln(m_b/M_W)^N$  ] requires 3 steps:

N(N)LO  $C_i(M_W)$  + N(N)LO RGE + N(N)LO matrix elements

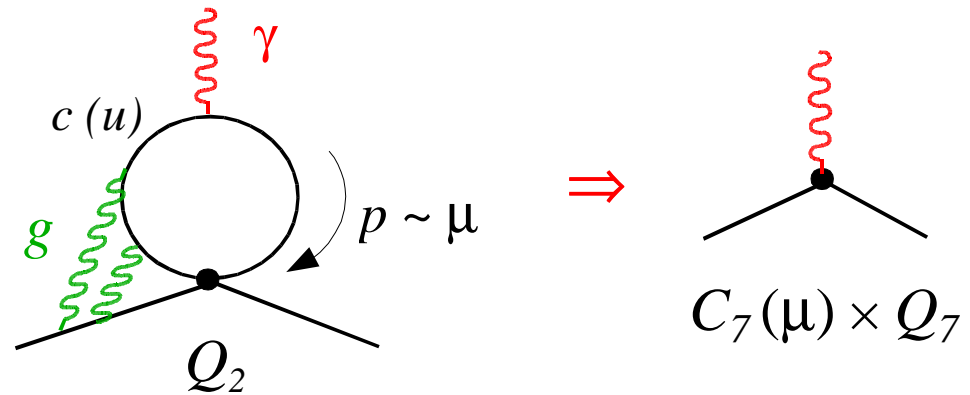
### I. The initial conditions

- sensitivity to short-distances [NP]



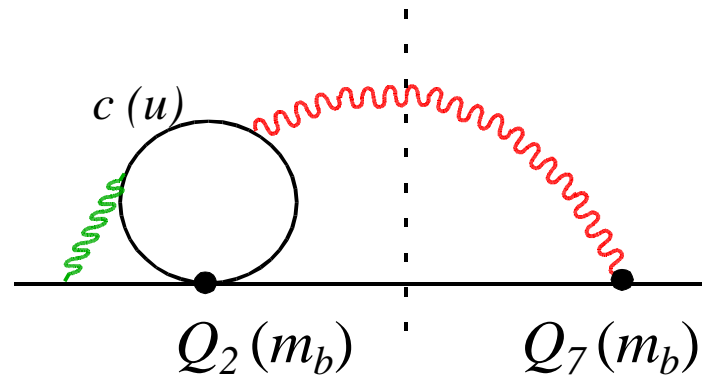
### II. The RGE evolution

- QCD *dilution* of the s.d. sensitivity  
[resummation of large logs:  $\alpha_s \ln(\mu/M_W) \sim \mathcal{O}(1)$ ]



### III. The matrix elements

- sensitivity to long-distances ( $c\bar{c}$  threshold,  $m_c$  dependence,...)



**N.B.:** operators such as  $Q_{10}$  [axial current  $\sim Z$  penguin], not contaminated by the mixing with 4-quarks, are particularly clean probes of s.d. dynamics  $\Rightarrow B \rightarrow X_s l^+ l^-$

$$\bullet B \rightarrow X_s \gamma$$

"The most effective NP killer"

NLO enterprise completed already a few years ago,  
all steps recently cross-checked:

- I.  $C_i(M_W)$  [ $C_{7,8}$  @ 2 loops] Adel & Yao '94 + several checks (also beyond SM)
- II. RGE [ $Q_{7,8} \leftrightarrow Q_{1-6}$  @ 3 loops] Chetyrkin, Misiak, Munz '97  
+ Gambino, Gorban, Haisch, 03
- III.  $\langle Q_i \rangle$  [ $Q_{1-6}$  @ 2 loops] Greub, Hurth, Wyler '96 + Buras *et al.* '01



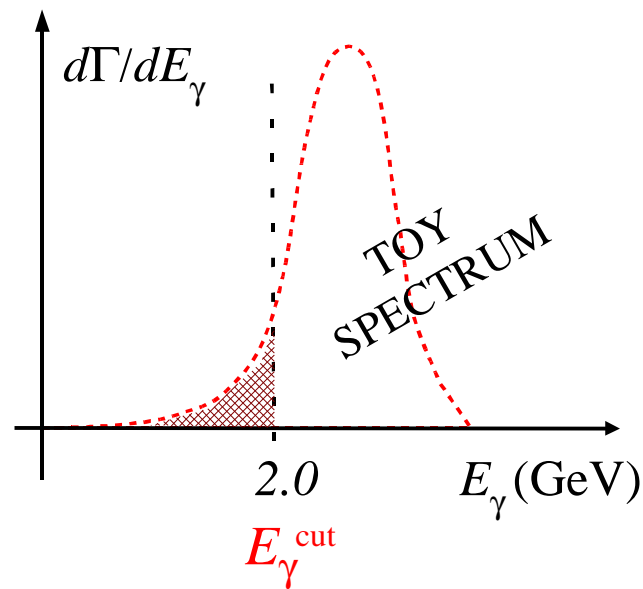
Residual scale dependence in the BR  $\sim 4\%$  !

- ➔ At this level of accuracy also subleading electroweak corrections become relevant  
[main effect: running of  $\alpha_{em}$ ] Czarnecki & Marciano '98; Gambino & Haisch '00
- ➔ Largest uncertainty induced by charm mass dependence (III.) :  
10% shift in BR for  $m_c^{\text{pole}} \rightarrow m_c^{\text{MS}}(\mu)$  [NNLO effect] Misiak, Gambino '01



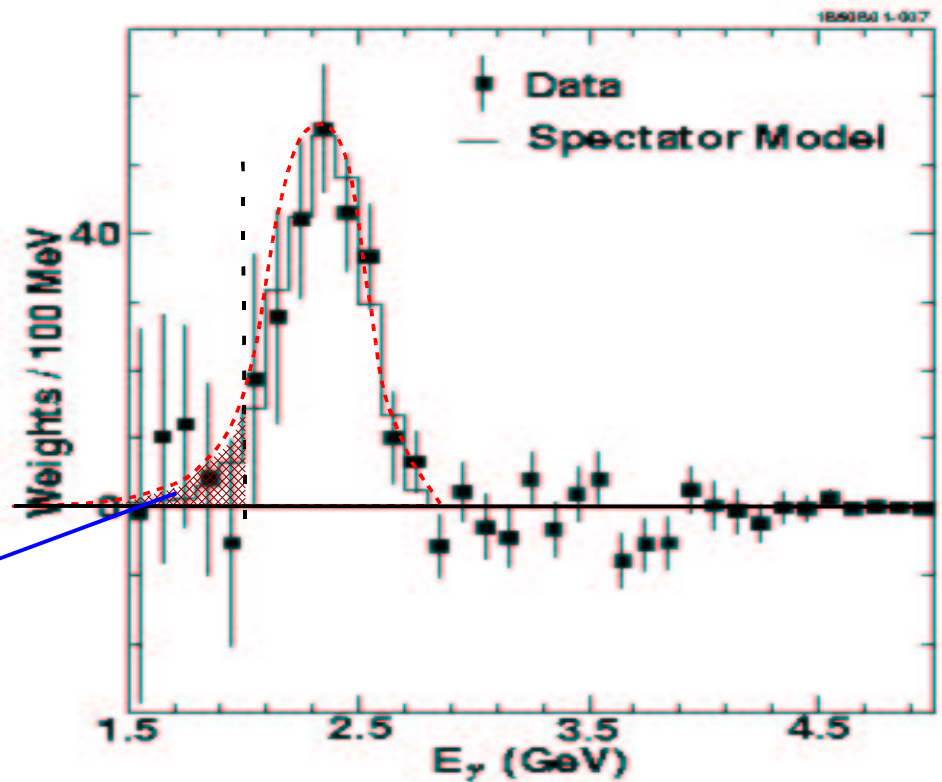
- ➔ Non-perturbative  $1/m_{b,c}$  corrections well under control in the *total rate*:
  - no linear terms;
  - small  $(\Lambda_{QCD}/m_{b,c})^2$  terms ( $\sim 2-3\%$ ) known from  $\Gamma(B \rightarrow X_c l \nu)$  &  $(M_{B^*} - M_B)$  [HQET]
- ➔ The most serious problem is the fact that the fully inclusive rate *is not accessible*:
  - extrapolation below  $E_\gamma^{\text{cut}}$

The  $E_\gamma$  spectrum [*shape function*] need to be determined from data [*effective  $\Lambda_{QCD}/m_b$  corrections*]



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Error in the extrapolation  $\sim 5\%$   
[CLEO '01 + Kagan, Neubert; Ali, Greub]

Putting all the ingredients together:

Most recent SM th. estimate:

$$B(B \rightarrow X_s \gamma) = (3.73 \pm 0.30) \times 10^{-4}$$

[Misiak, Gambino, 01]

- partial inclusion of NNLO terms  
[  $m_c^{\text{pole}} \rightarrow m_c(\mu)$  ]
- error estimate includes an educated guess on NNLO terms

To be compared with:

$$(3.21 \pm 0.43 \pm 0.27_{-0.10}^{+0.18}) \times 10^{-4} \quad \text{CLEO '01}$$

$$(3.36 \pm 0.53 \pm 0.42_{-0.54}^{+0.50}) \times 10^{-4} \quad \text{BELLE '01}$$

$$(3.88 \pm 0.36 \pm 0.37_{-0.23}^{+0.43}) \times 10^{-4} \quad \text{BABAR '02}$$

$$(3.34 \pm 0.38) \times 10^{-4} \quad \text{W.A.}$$

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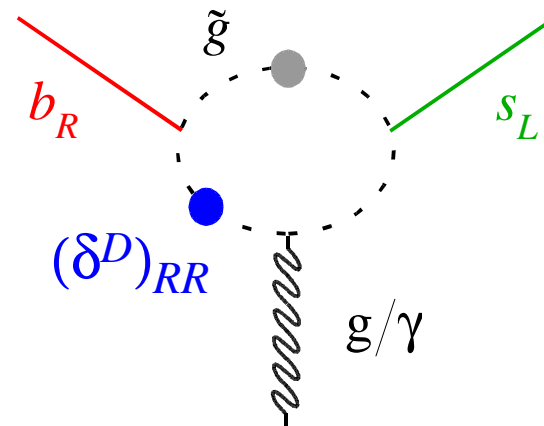
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A great success for the SM... and a lot of problems for many of its extensions !

E.g.: strong constraints on the SUSY mixing terms which could induce  $A_{\text{CP}}(\phi K_S) \neq A_{\text{CP}}(\psi K_S)$

In my opinion  $A_{\text{CP}}(\phi K_S) < 0$  requires a rather ugly conspiracy



Masiero & Murayama + many others...

Several th. collaborations started to analyse the missing pieces necessary to predict  $B(B \rightarrow X_s \gamma)$  at NNLO within the SM [Misiak & Co.]  $\Rightarrow$  *long & challenging project...*

Beside the rate, very interesting short-distance info can also be extracted from the inclusive CP asymmetry:

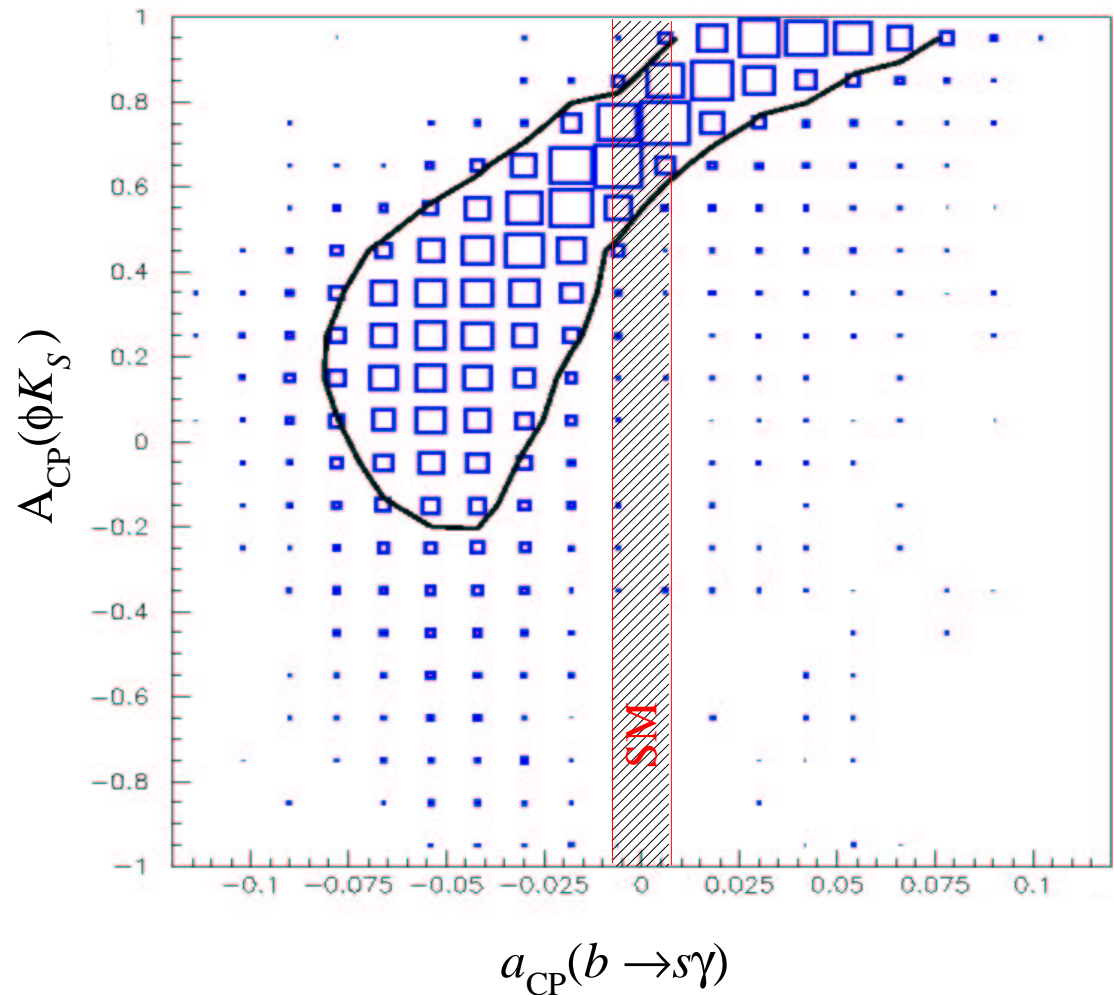
$$a_{CP} = \frac{\Gamma(\bar{B}) - \Gamma(B)}{\Gamma(\bar{B}) + \Gamma(B)} \stackrel{\text{(SM)}}{\approx} 0.6\%$$

Kagan, Neubert '98

Suppressed within SM by the smallness of  $\Im(V_{tb}^* V_{ts})$  possible large effects ( $\sim 10\%$ ) with new CPV phases

Present exp. bounds  $\sim 10\%$

$\Rightarrow$  still 1 order of magnitude of possible NP contributions to be explored



Ciuchini *et al.* '03

$$\bullet B \rightarrow X_s l^+ l^-$$

- Different LL count. than in  $B \rightarrow X_s \gamma$  [ $Q_9 \leftrightarrow Q_{1-6}$  starts @ 1 loop  $\Rightarrow$  NNLO simpler]
- Sensitivity to e.w. box & Z penguins not present in  $B \rightarrow X_s \gamma$  [ $Q_9$  &  $Q_{10}$ ]
- Dangerous long-distance contamination from real  $c\bar{c}$  states  
[  $\langle Q_i \rangle$  & non-pert. effects more complicated than in  $B \rightarrow X_s \gamma$  ]

Very recently full NNLO analyses available for both dilepton spectrum & lepton FB asymmetry:

- I.  $C_i(M_W)$  Bobeth, Misiak & Urban, '00;
- II. RGE Gambino, Gorban, Haisch, '03;
- III.  $\langle Q_i \rangle$  Asatryan, Asatrian, Greub, Walker '01-'02;  
Ghinculov, Hurh, G.I. & Yao, '02-'03;



Residual scale dependence in the dilepton spectrum: from 3% to 7%  
(depending on the kin. region); even smaller for the FB asymmetry

## The dilepton spectrum

We can define two clean *perturbative windows* free from large non-pert. effects:

The two regions are affected by different th. (systematic) errors and probe different s.d. structures

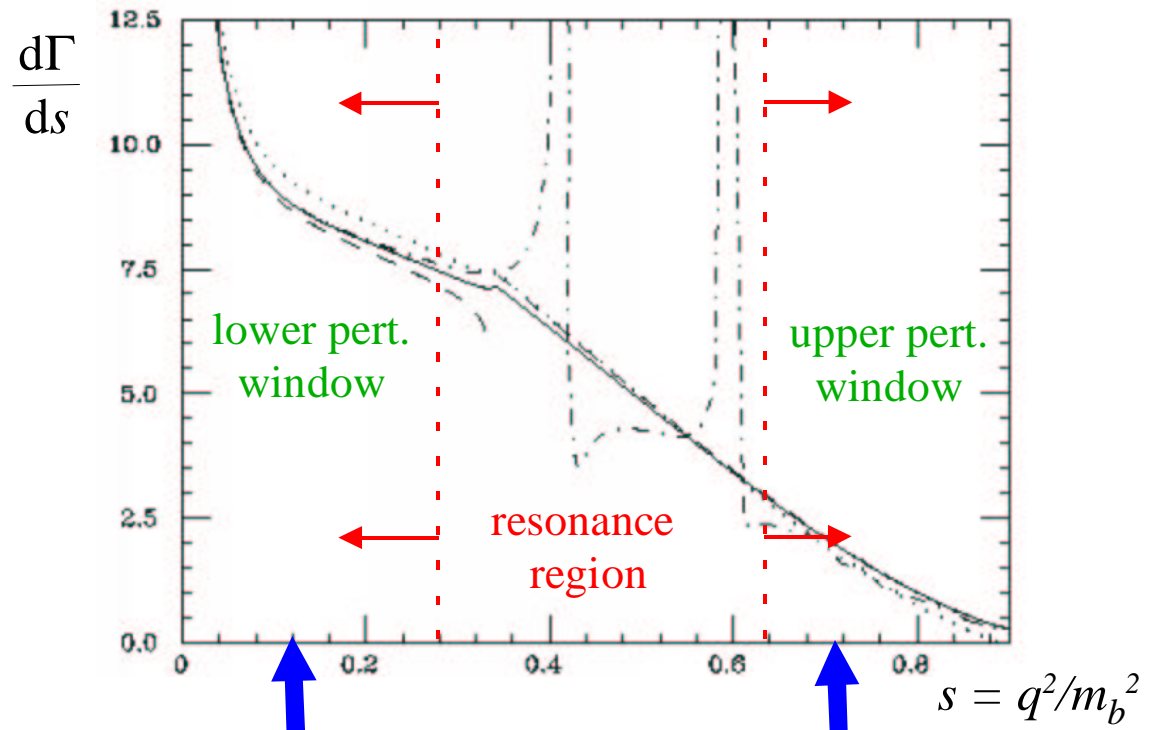


It would be very useful to *quote separately* the measurements of the BR in these two regions

NNLO SM predictions:

$$B(B \rightarrow X_s l^+ l^-; q^2 \in [1, 6] \text{ GeV}^2) = (1.60 \pm 0.19) \times 10^{-6}$$

$$B(B \rightarrow X_s l^+ l^-; q^2 > 14.4 \text{ GeV}^2) = (3.84 \pm 0.75) \times 10^{-7}$$



larger rate  
sens. to  $Q_7 \times Q_9$   
small  $1/m_b$  corr.

larger  $M_{had.}$  cuts  
larger charm corr.  
larger scale dep.

more sens. to  $Q_{10}$   
small scale dependence  
small charm corr.  
small  $M_{had.}$  cuts

larger  $1/m_b$  corr.  
low rate

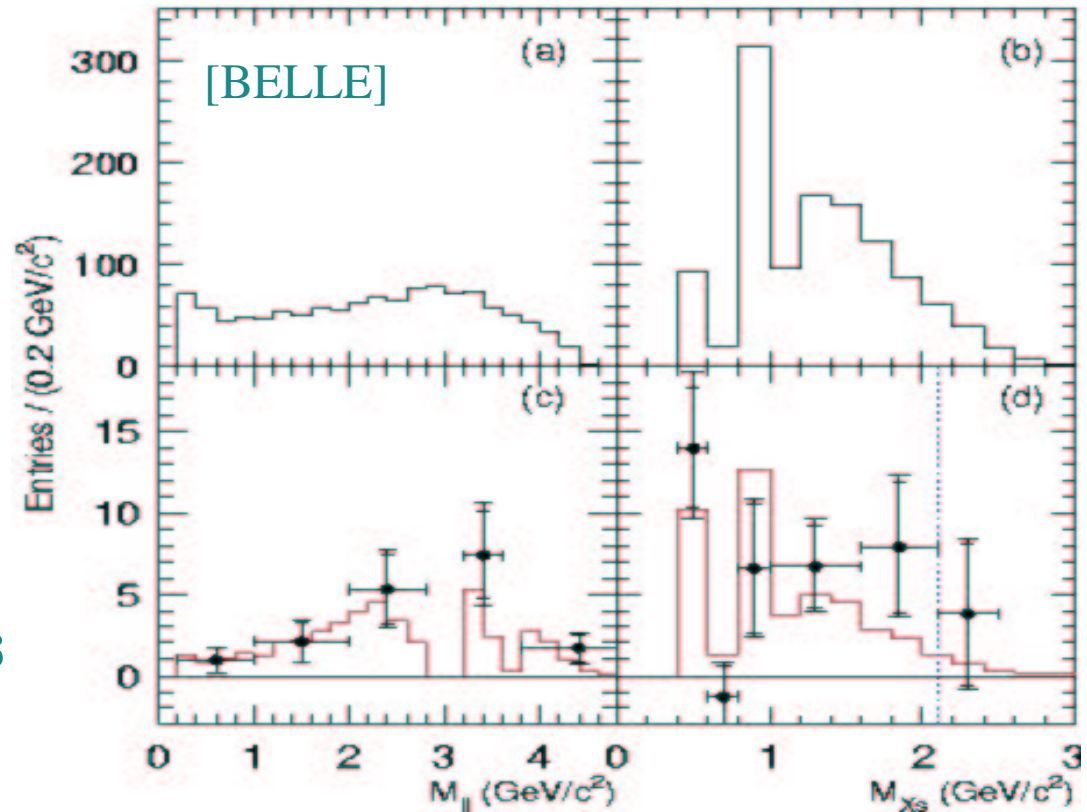
Ghinculov, Hurh,  
G.I. & Yao, '02-'03;

This summer  $B$  factories  
 have reached the  $5\sigma$  level  
 (discovery level) on the  
 combined ( $l=e,\mu$ )  
 (semi-) inclusive  
 branching ratios:

$$(6.1 \pm 1.4^{+1.4}_{-1.1}) \times 10^{-6} \text{ BELLE '03}$$

$$(6.3 \pm 1.6^{+1.8}_{-1.5}) \times 10^{-6} \text{ BABAR '03}$$

$$(6.2 \pm 1.1^{+1.6}_{-1.3}) \times 10^{-6} \text{ W.A.}$$



Extrapolated result, to be compared with  $B(B \rightarrow X_S l^+ l^-)^{\text{SM}} = (4.2 \pm 0.7) \times 10^{-6}$

Ali et al. '02;

$\Rightarrow$  Promising prospects for the future!  $\Leftarrow$

**N.B.:** another interesting candidate for a large  $A_{\text{CP}}(\phi K_S) \neq A_{\text{CP}}(\psi K_S)$

namely a non-standard  $b \rightarrow s$   $Z$ -penguin [G. Hiller *et al.*]

is already strongly constrained by these data [ $A_{\text{CP}}(\phi K_S) < 0$  excluded]



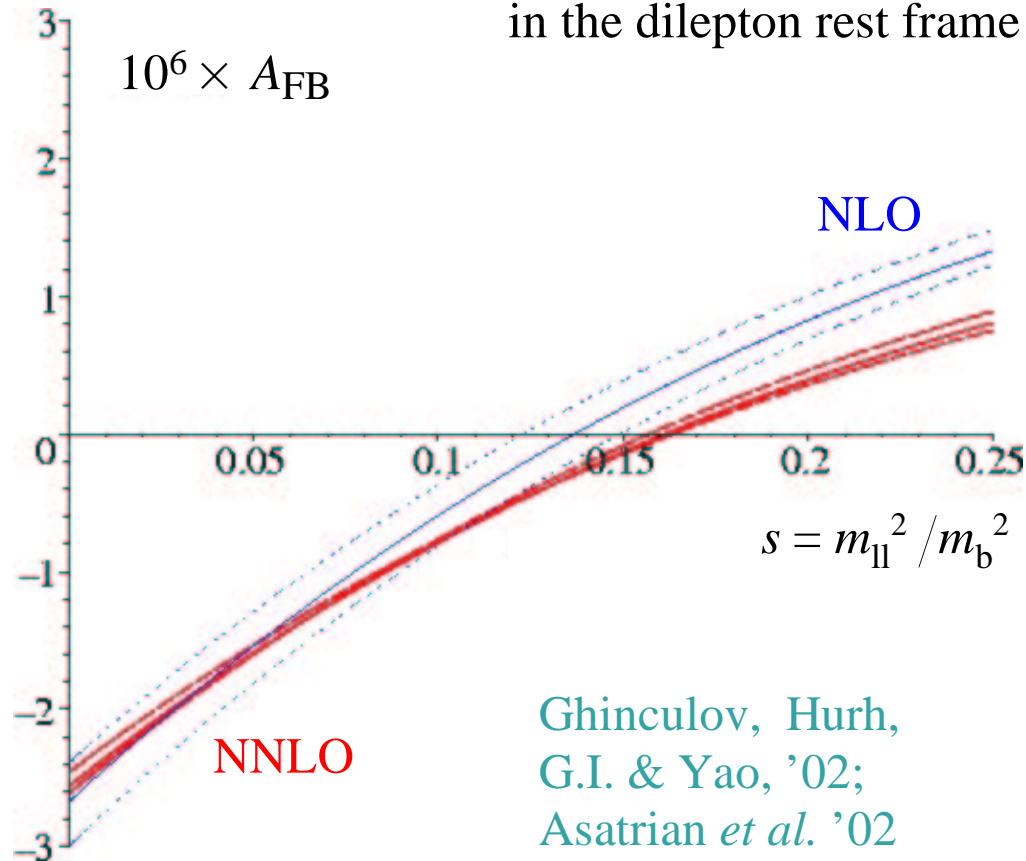
## The lepton FB asymmetry

Probably the most interesting observable in  $B \rightarrow X_s l^+ l^-$  decays:

$$A_{FB} = \int \frac{d^2 B(B \rightarrow X_s \mu^+ \mu^-)}{ds d \cos \vartheta} \text{sgn}(\cos \vartheta) \propto \Re \left[ C_{10}^* \left( s C_9^{\text{eff}}(s) + r(s) C_7 \right) \right]$$

$\theta$  = angle between  $\mu^+$  &  $B$  momenta  
in the dilepton rest frame

th. error  $\lesssim 5\%$



- direct access to the *relative phases* of the  $C_i$
- proportional to  $C_{10}$  (interf. of axial & vector currents)  
 $\Rightarrow$  small QCD corrections

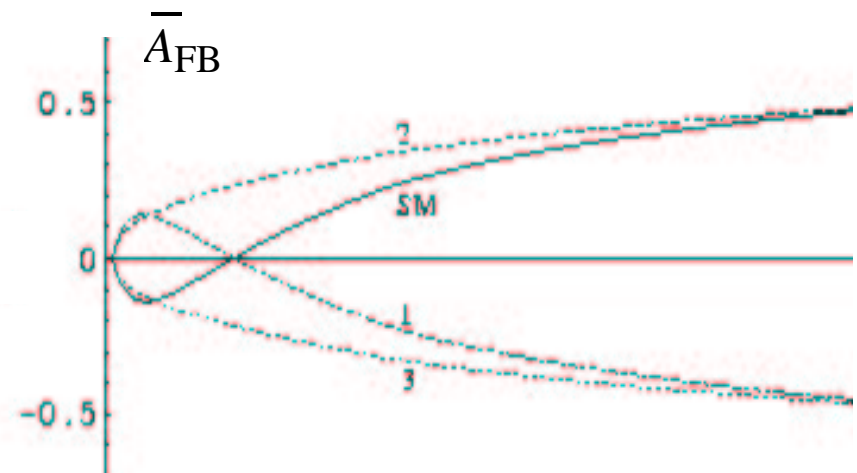
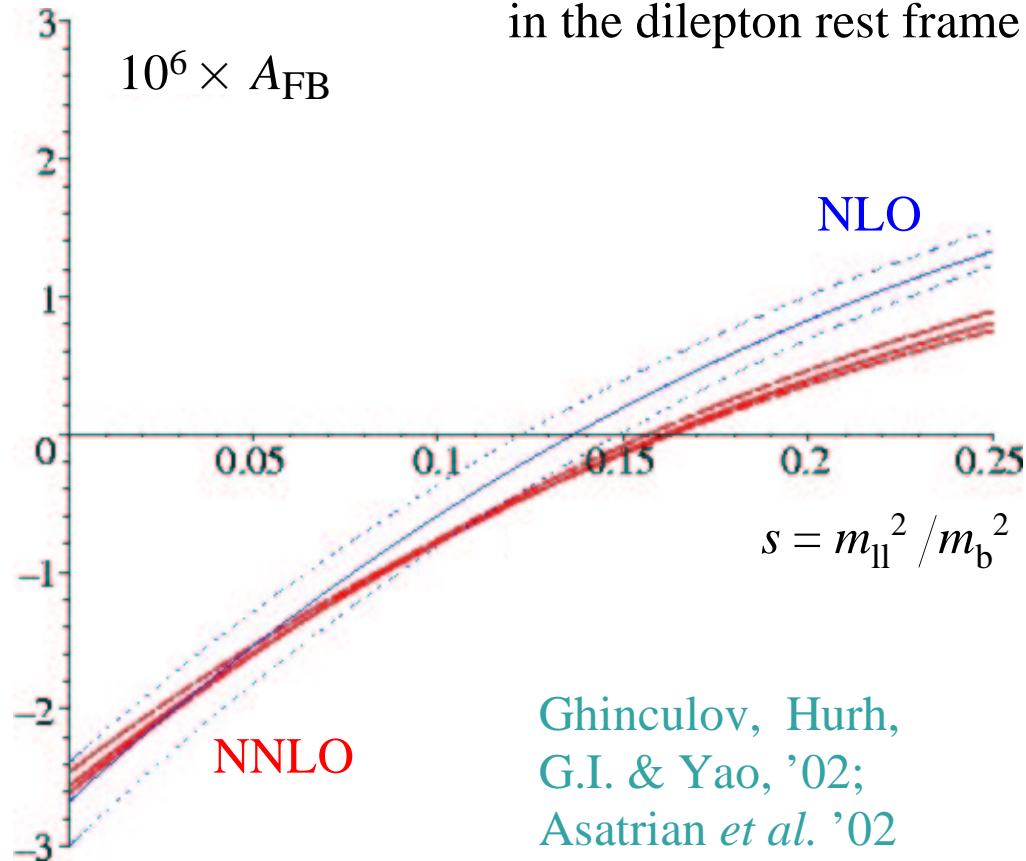
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Ali *et al.* '01

- a very useful probe of non-standard scenarios:

## • Exclusive FCNC $B$ decays

The accuracy on *exclusive* FCNC  $B$  decays of the type  $B \rightarrow H+(\gamma, l^+l^-)$  depends on the th. control of  $B \rightarrow H$  *hadronic form factors*.

⇒ several progress in the last few years [HQS, SCET  $\leftrightarrow$  LCSR, Lattice]  
but typical errors still  $\sim 30\%$

The most difficult exclusive observables are the total branching ratios

⇒ the s.d. info which we can extract from the latest data on  $\mathbf{B}(B \rightarrow X_s l^+ l^-)$   
is already superior to what we could get from  $\mathbf{B}(B \rightarrow K^* l^+ l^-)$  &  $\mathbf{B}(B \rightarrow K l^+ l^-)$

However, *f.f.* uncertainties can be considerably reduced in appropriate ratios  
or differential distributions

⇒ especially interesting when the corresponding inclusive observable is not exp. accessible, e.g:

$$\bar{A}_{\text{FB}}(B \rightarrow K^* l^+ l^-) \quad R(\rho\gamma/K^*\gamma) = \frac{\mathbf{B}(B \rightarrow \rho\gamma)}{\mathbf{B}(B \rightarrow K^*\gamma)}$$

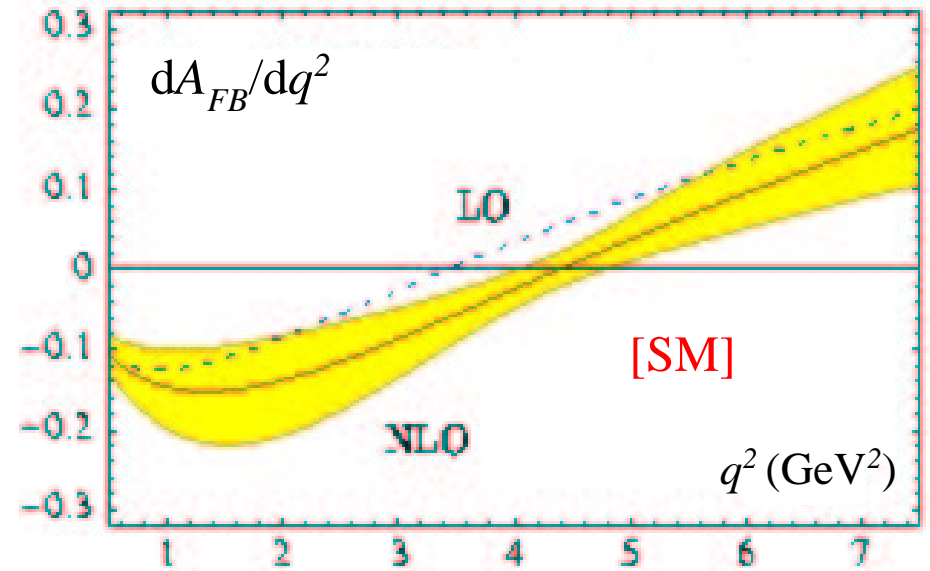
A) Properties of  $A_{FB}(s)$  indep. from the detailed structure of the form factors:

- $A_{FB}(s) = 0$  for  $s = q^2/m_b^2 \sim C_7/C_9$

Burdman '98; Ali *et al.* '00;

Beneke, Feldmann, Seidel '01 →

- Within the SM  $A_{FB}^{(\bar{B})}(s) < 0$  for  $s < s_0$   
&  $A_{FB}^{(\bar{B})}(s) = -A_{FB}^{(B)}(s)$  (modified by new CPV phases)



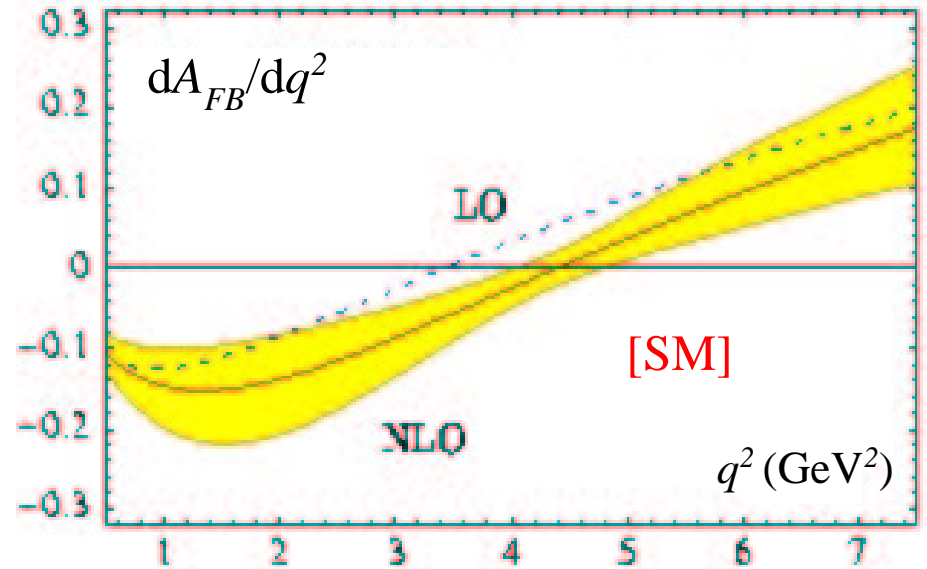
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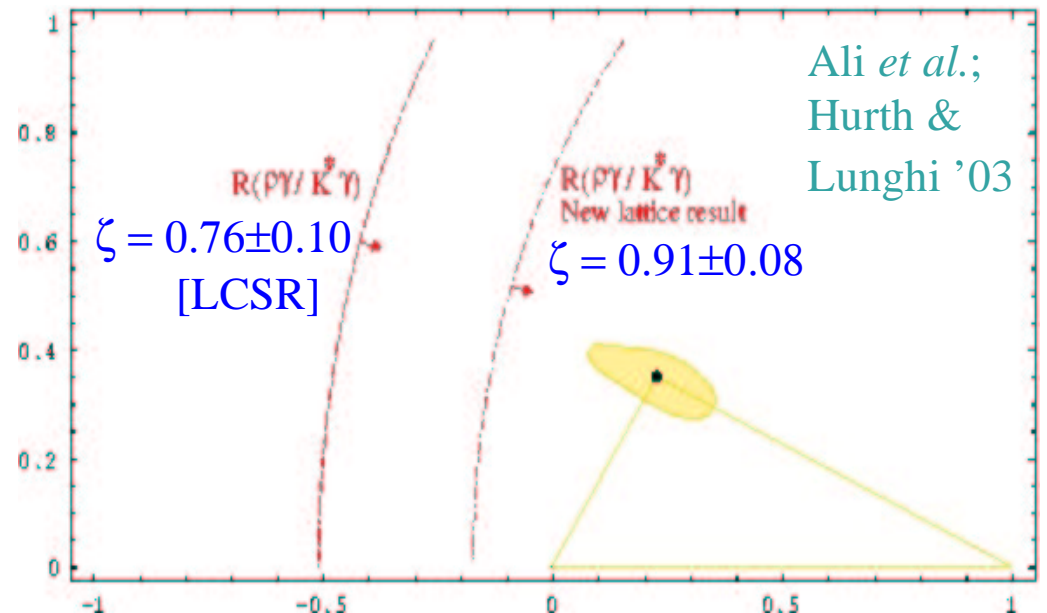
**B)**  $R(\rho\gamma/K^*\gamma) = \frac{B(B \rightarrow \rho\gamma)}{B(B \rightarrow K^*\gamma)}$

$$= \frac{|V_{td}|^2}{|V_{ts}|^2} \frac{(M_B^2 - M_\rho^2)^3}{(M_B^2 - M_{K^*}^2)^3} \zeta^2 (1 - \Delta R)$$

*f.f.* ratio at  $q^2=0$   
in the HQ limit

$O(\alpha_s)$  & power  
suppress. terms  
(± 10%)

$R(\rho\gamma/K^*\gamma) < 0.047$  [90%CL, BaBar '03]



$$B_{(s,d)} \rightarrow l^+ l^-$$

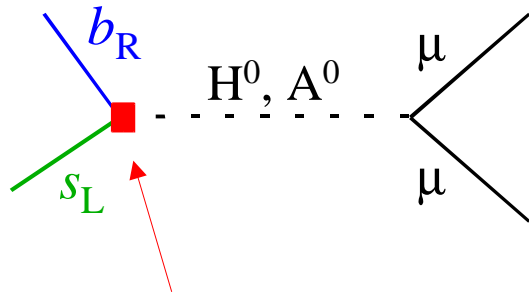
## A special case among exclusive $B$ decays

- No vector–current contribution [th. error of the s.d. calculation  $\sim 1\%$  !]
- Hadronic matrix element relatively simple [ $f_B$  within the SM]
- Very clean signature
- Strong sensitivity to scalar currents beyond the SM
  - $\Rightarrow$  order–of–magnitude enhancements possible in multi–Higgs models, even without new flavor structures [**SUSY @ large  $\tan\beta$** ]

Babu & Kolda, '00

+

wide literature  
in the last 3 yrs.



$$A_{scalar} \sim \frac{m_b m_\mu}{M_A^2} \epsilon \tan^3 \beta$$

Effective scalar FCNC coupling which *necessarily appears* in SUSY and which is *not suppressed* in the limit of heavy SUSY particles

strong Yukawa suppr. which prevent to observe this effect in allowed transitions such as  $B_s \rightarrow X l^+ l^-$

very strong dependence on  $\tan\beta$

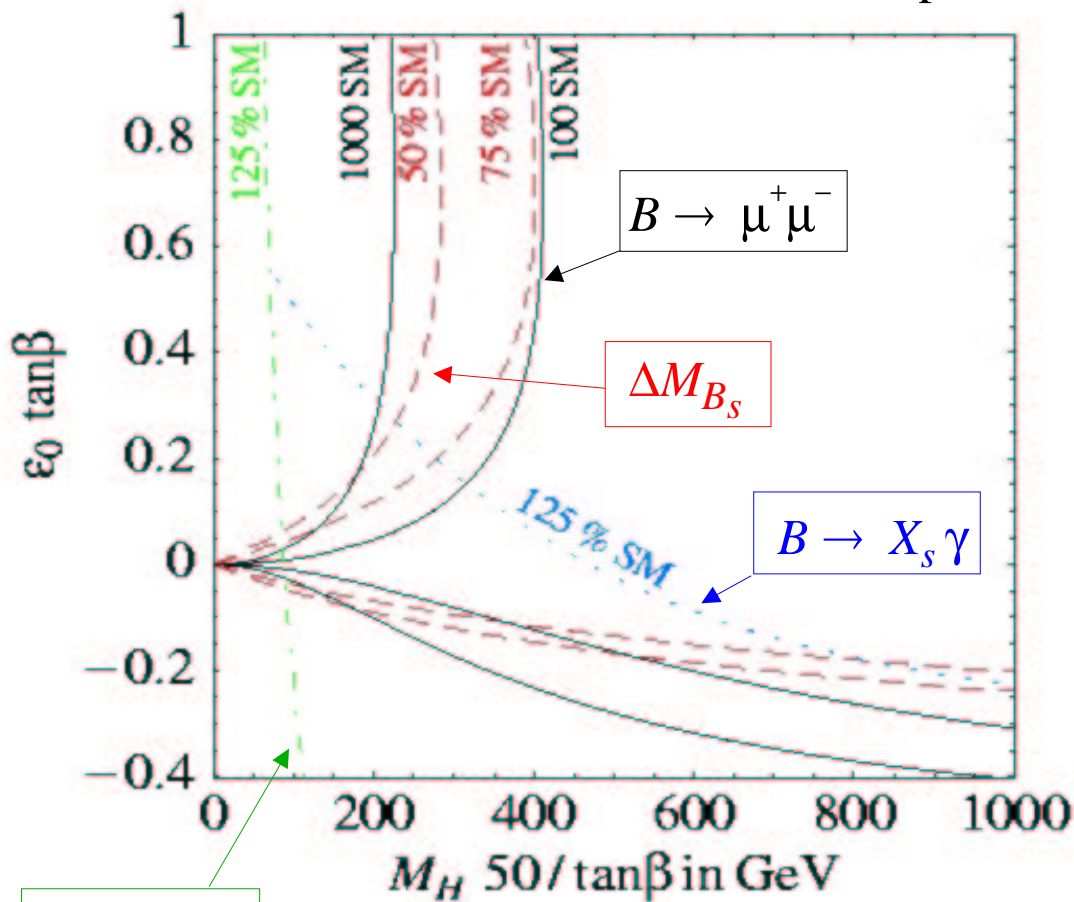
$$[\epsilon \sim 1/16\pi^2]$$

$$B(B_s \rightarrow \mu^+ \mu^-)^{SM} \approx 3 \times 10^{-9} < 9.5 \times 10^{-7} \text{ 90\% CL CDF '03}$$

$$B(B_d \rightarrow \mu^+ \mu^-)^{SM} \approx 1 \times 10^{-10} < 1.6 \times 10^{-7} \text{ 90\% CL BELLE '03}$$

Even the present (weak) bounds put very significant constraints on the SUSY param. space  $\Rightarrow$  great discovery potential for future searches at hadronic machines!

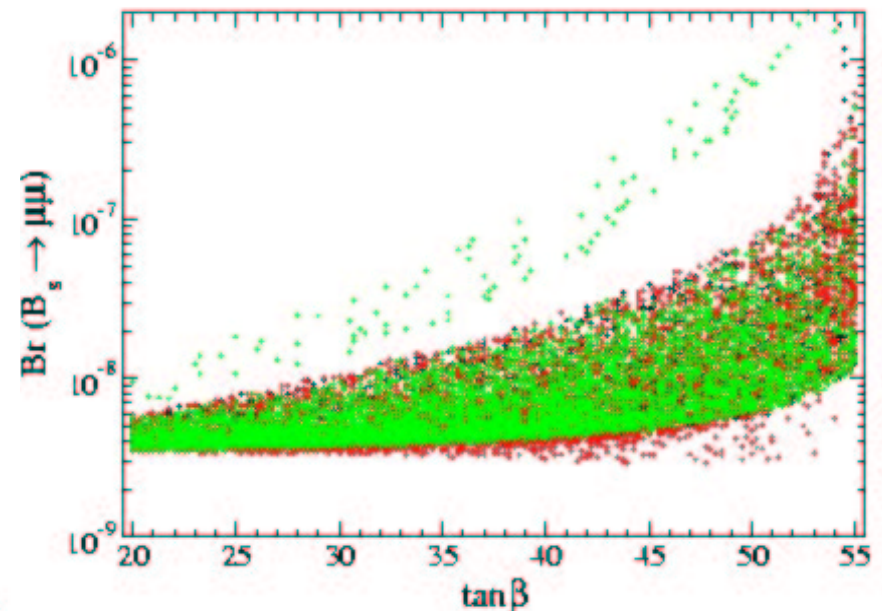
General SUSY-2HDM exclusion plot



$$B \rightarrow X_s \tau \nu$$

D'Ambrosio, Giudice, G.I. & Strumia '02

MSUGRA expectations



Kane, Kolda, Lennon '03

## • Conclusions

The *flavor problem* is one of the most fascinating puzzles in particle physics and rare decays are the key missing pieces which are necessary to reveal the final picture [*the underlying flavor symmetry*]

Experiments at *B* factories have just reached a level of precision which will allow us to extract, in a short time, some of these pieces, *but this is only the beginning...*